

U.S. Department of Housing and Urban Development
Office of Public and Indian Housing

PHA Plans

Revised 5 Year Plan for Fiscal Years 2005 - 2009

Annual Plan for Fiscal Year 2008 - 2009

**NOTE: THIS PHA PLANS TEMPLATE (HUD 50075) IS TO BE COMPLETED IN
ACCORDANCE WITH INSTRUCTIONS LOCATED IN APPLICABLE PIH NOTICES**

**PHA Plan
Agency Identification**

PHA Name: Sandusky Metropolitan Housing Authority

PHA Number: OH-054

PHA Fiscal Year Beginning: (mm/yyyy) 07/2008

PHA Programs Administered:

☒ **Public Housing and Section 8**

☐ **Section 8 Only**

☐ **Public Housing Only**

Number of public housing units:

Number of S8 units:

Number of public housing units:

Number of S8 units:

☐ **PHA Consortia:** (check box if submitting a joint PHA Plan and complete table)

| Participating PHAs | PHA Code | Program(s) Included in the Consortium | Programs Not in the Consortium | # of Units Each Program |
|----------------------|----------|---------------------------------------|--------------------------------|-------------------------|
| Participating PHA 1: | | | | |
| Participating PHA 2: | | | | |
| Participating PHA 3: | | | | |

Public Access to Information

Information regarding any activities outlined in this plan can be obtained by contacting: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices

Display Locations For PHA Plans and Supporting Documents

The PHA Plans (including attachments) are available for public inspection at: (select all that apply)

- ☒ Main administrative office of the PHA
- ☐ PHA development management offices
- ☐ PHA local offices
- ☐ Main administrative office of the local government
- ☐ Main administrative office of the County government
- ☐ Main administrative office of the State government
- ☐ Public library
- ☐ PHA website
- ☐ Other (list below)

PHA Plan Supporting Documents are available for inspection at: (select all that apply)

- ☒ Main business office of the PHA
- ☐ PHA development management offices
- ☐ Other (list below)

5-YEAR PLAN
PHA FISCAL YEARS 2005 - 2009
[24 CFR Part 903.5]

A. Mission

State the PHA's mission for serving the needs of low-income, very low income, and extremely low-income families in the PHA's jurisdiction. (select one of the choices below)

☐ The mission of the PHA is the same as that of the Department of Housing and Urban Development: To promote adequate and affordable housing, economic opportunity and a suitable living environment free from discrimination.

☒ The PHA's mission is: (state mission here)

The Sandusky Metropolitan Housing Authority will provide safe, decent, and affordable housing to eligible residents of Sandusky County. We will also serve as stewards of public funds and public trust, and we will serve all clientele with respect in an efficient manner and without discrimination.

B. Goals

The goals and objectives listed below are derived from HUD's strategic Goals and Objectives and those emphasized in recent legislation. PHAs may select any of these goals and objectives as their own, or identify other goals and/or objectives. Whether selecting the HUD-suggested objectives or their own, **PHAS ARE STRONGLY ENCOURAGED TO IDENTIFY QUANTIFIABLE MEASURES OF SUCCESS IN REACHING THEIR OBJECTIVES OVER THE COURSE OF THE 5 YEARS.** (Quantifiable measures would include targets such as: numbers of families served or PHAS scores achieved.) PHAs should identify these measures in the spaces to the right of or below the stated objectives.

HUD Strategic Goal: Increase the availability of decent, safe, and affordable housing.

- ☒ PHA Goal: Expand the supply of assisted housing
Objectives:
- ☐ Apply for additional rental vouchers:
 - ☒ Reduce public housing vacancies:
 - ☐ Leverage private or other public funds to create additional housing opportunities:
 - ☒ Acquire or build units or developments
Build 4-8 units of affordable housing that is also designed to be accessible on a small lot next to the Sandusky MHA office.
 - ☐ Other (list below)
- ☒ PHA Goal: Improve the quality of assisted housing
Objectives:
- ☒ Improve public housing management: (PHAS score)
Reach high performer status by June 30, 2009

- ☒ Improve voucher management: (SEMAP score)
Reach high performer status by June 30, 2009
- ☒ Increase customer satisfaction:
Staff will continue work to make contacts with applicants, tenants, and landlords efficient
- ☒ Concentrate on efforts to improve specific management functions:
(list; e.g., public housing finance; voucher unit inspections)
Due to funding constraints, an additional position that was vacated in April 2007 has remained unfilled. This is the third position to remain unfilled in the past three years. Several staff persons have learned to perform tasks outside their regular responsibilities. Efforts have been made and will continue to streamline processes including occupancy-related procedures and financial procedures.
- ☒ Renovate or modernize public housing units:
An architect was retained in late February 2008 to assist with plans to upgrade the public housing property, including interior upgrades, the site, and possible exterior upgrades
- ☒ Demolish or dispose of obsolete public housing:
The board has recently discussed the possibility of selling the public housing property. No action has been taken towards this end as of April 2008.
- ☐ Provide replacement public housing:
- ☐ Provide replacement vouchers:
- ☐ Other: (list below)

- ☒ PHA Goal: Increase assisted housing choices
Objectives:
 - ☐ Provide voucher mobility counseling:
 - ☐ Conduct outreach efforts to potential voucher landlords:
 - ☒ Increase voucher payment standards:
reviewed annually; increased in October 2007
 - ☐ Implement voucher homeownership program:
 - ☐ Implement public housing or other homeownership programs:
 - ☐ Implement public housing site-based waiting lists:
 - ☒ Convert public housing to vouchers:
This may be considered if the possibility of selling the property is pursued further.
 - ☐ Other: (list below)

HUD Strategic Goal: Improve community quality of life and economic vitality

- ☒ PHA Goal: Provide an improved living environment
Objectives:

- ☐ Implement measures to deconcentrate poverty by bringing higher income public housing households into lower income developments:
- ☐ Implement measures to promote income mixing in public housing by assuring access for lower income families into higher income developments:
- ☒ Implement public housing security improvements:
Off duty police officers provide security as funding permits
- ☐ Designate developments or buildings for particular resident groups (elderly, persons with disabilities)
- ☐ Other: (list below)

HUD Strategic Goal: Promote self-sufficiency and asset development of families and individuals

- ☐ PHA Goal: Promote self-sufficiency and asset development of assisted households

Objectives:

- ☐ Increase the number and percentage of employed persons in assisted families:
- ☐ Provide or attract supportive services to improve assistance recipients' employability:
- ☐ Provide or attract supportive services to increase independence for the elderly or families with disabilities.
- ☐ Other: (list below)

HUD Strategic Goal: Ensure Equal Opportunity in Housing for all Americans

- ☒ PHA Goal: Ensure equal opportunity and affirmatively further fair housing
- Objectives:
- ☒ Undertake affirmative measures to ensure access to assisted housing regardless of race, color, religion national origin, sex, familial status, and disability:
 - ☒ Undertake affirmative measures to provide a suitable living environment for families living in assisted housing, regardless of race, color, religion national origin, sex, familial status, and disability:
 - ☒ Undertake affirmative measures to ensure accessible housing to persons with all varieties of disabilities regardless of unit size required:
 - ☐ Other: (list below)

Other PHA Goals and Objectives: (list below)

Other goals:

1. Administer an efficient, high-performing agency through continuous improvement of the agency's support systems and commitment to employees. Attain and maintain a high level of standards and

professionalism in the Agency's day-to-day management of its housing programs. Provide decent, safe, and sanitary housing for very low income families while maintaining their rent payments at an affordable level. Promote housing programs that maintain quality service and integrity. Encourage private property owners to rent to very low income families (HCV program).

2. Violence Against Women Act (VAWA)

The Sandusky Metropolitan Housing Authority (SMHA) has adopted policies for both the Public Housing and the Housing Choice Voucher Programs to implement applicable provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162) (VAWA). The policies were adopted in April 2007.

SMHA's goals, objectives and policies to enable SMHA to serve the needs of child and adult victims of domestic violence, dating violence and stalking, as defined in VAWA, are stated in the SMHA VAWA Policies for the Public Housing Program (Admissions and Continued Occupancy Policy) and the Housing Choice Voucher Program (Administrative Plan). Copies of those policies were attached to the Five Year/Annual Plan submitted to HUD in April 2007.

In addition, SMHA shall operate programs to serve the needs of child and adult victims of domestic violence, dating violence and stalking as and to the extent such programs are described from time to time in SMHA's Annual Public Housing Agency Plan.

Annual PHA Plan
PHA Fiscal Year 2008
[24 CFR Part 903.7]

i. Annual Plan Type:

Select which type of Annual Plan the PHA will submit.

☐

Standard Plan

☒

Troubled Agency Plan

ii. Executive Summary of the Annual PHA Plan

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

The Sandusky MHA has prepared this Agency Plan in compliance with Section 511 of the QHWRRA of 1998 and related HUD requirements. The Plan this year consists of the Annual Plan for the fiscal year beginning July 1, 2008, and it includes a revision to the 5-Year Plan for 2005-2009.

The following activity is or has also taken place:

- **HCV Payment Standard Revisions:** Payment standards were reviewed and revised in October, 2007, after the new Fair Market Rents were released. The Payment Standards are between 101% and 105% of the Fair Market Rents. They are reviewed each year after HUD releases the Fair Market Rent figures each October 1.
- **Voucher Program Admin Plan revisions:** It was reported in last year's Annual Plan that VAWA policies had been adopted. Since then, no further changes have been made to the Admin Plan.
- **ACOP revisions:** Since the VAWA policies were adopted in April 2007 (last year's Annual Plan), the only other revisions made to the ACOP were the adoption of increased ceiling rents and flat rents, in July 2007.
- **HCV lease up activity:** Efforts have been made to streamline the procedures used to determine eligibility and rent calculations for the HCV program. The changes have not resulted in changes to the Admin Plan, but they have helped the lone occupancy employee to complete the steps necessary to determine rent calculations more efficiently. Whereas the applicant/tenant formerly brought documentation to an appointment and was informed at a later time about the outcome of the rent calculation, the entire process is completed at the appointment. The appointments last a little longer than before, but all questions are answered at that time, and the information in the file is completed in one step. Efforts are still being made to increase the number of Vouchers under contract, and the numbers are increasing slowly.
- **PH occupancy activity:** The same procedures as described above for the Voucher program – steps to determine rent – are also being used with Public Housing applicants and tenants. There was no need to revise the ACOP as a result of these changes. The public housing has experienced high vacancy rates in recent years, mostly due to there being only one occupancy staff person for both Vouchers and Public Housing, but recently six (6) units were rented on one day, with other units being filled in the meantime. Several transfers, from 2-BR to 3-BR units, are also scheduled, which slows down the process of assisting new tenants as it results in twice the maintenance time needed to turn over units.

- **Further decrease in staff:** After not replacing two employees in June 2006, a third employee (maintenance) resigned in April, 2007, and that position was not filled, either, due to funding constraints.
- **Change in Executive Director:** The current Executive Director, Robin Kocher, informed the SMHA Board in May 2007 that she would be retiring at the end of July 2008. Advertising was conducted in November, 2007 for a replacement. No decisions have been made concerning the applicants, but discussions have taken place with the Executive Director of a nearby housing authority about the possibility of the two agencies being overseen by the same Director. Discussions have also taken place with an official at the Cleveland HUD Field Office concerning the same. As of the submission of this Plan, talks are still taking place, and no decisions have been made.
- **Troubled Status:** As a result of not completing corrections to the financial statement submitted to REAC by the designated deadline in 2006 (for FYE 06/30/2005) the agency was designated *Troubled*, eventually received a revised/reduced PHAS score of 62, and was further designated *Substandard Financial*. As a result, the agency entered into a Memorandum of Agreement with HUD in April 2007 for the period from May 1, 2007 through April 30, 2008. During this time quarterly reports are being submitted to the Cleveland Field Office showing activity for the financial indicators as outlined in the HUD letter signed by Thomas Marshall dated April 19, 2007.
- **Financial Submissions since June 30, 2005 FYE (for FYE 06/30/06 and 06/30/07):** In 2007, the financial submission for FYE 06/30/2006 was submitted in a timely manner and accepted March 20, 2007; however the score of 62 still applied. On March 21, 2008, the agency received an email notice from HUD/REAC/PHAS that the PHAS score for June 30, 2007, was 85, which is Standard Performer Status.
- **New Audit Contract:** The SMHA entered into a new audit contract with James Zupka for a four-year period beginning with the current fiscal year (FYE June 30, 2008).
- **2008 HCV Administrative Fee:** The Administrative Fee earned by the agency has changed in 2008, from a lump sum amount that had been determined by HUD to the following: (1) \$51/unit/month for units not owned by SMHA that are under contract on the first of each month; and (2) or a little over \$20/unit/month for units owned by SMHA. The total Administrative Fee earned by SMHA should increase in 2008 as a result of this change. During the week of March 24, an announcement was made at NAHRO's Legislative Conference in Washington, DC, by HUD Section 8 Director David Vargas, that Administrative Fees may be funded at only 86% of the estimated program needs. As of the date of the Plan approval (April 8, 2008), no further information about this matter has been received.

iii. Annual Plan Table of Contents

[24 CFR Part 903.7 9 (r)]

Provide a table of contents for the Annual Plan, including attachments, and a list of supporting documents available for public inspection.

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Attachments

Indicate which attachments are provided by selecting all that apply. Provide the attachment's name (A, B, etc.) in the space to the left of the name of the attachment. Note: If the attachment is provided as a **SEPARATE** file submission from the PHA Plans file, provide the file name in parentheses in the space to the right of the title.

Required Attachments:

- ☐ Admissions Policy for Deconcentration – N/A
- ☒ Capital Fund Program Annual Statement: **Attach. A**
- ☒ Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY): **Attach. B**
- ☒ List of Resident Advisory Board Members: **Attach. C**
- ☒ List of Resident Board Member: **Attach D**
- ☒ Community Service Description of Implementation: **Attach E**
- ☒ Information on Pet Policy: **Attach F**
- ☐ Section 8 Homeownership Capacity Statement, if applicable – N/A
- ☐ Description of Homeownership Programs, if applicable – N/A

Optional Attachments:

- ☒ PHA Management Organizational Chart: **Attach G**
- ☒ FY 2008 Capital Fund Program 5 Year Action Plan: **Attach H**
- ☐ Public Housing Drug Elimination Program (PHDEP) Plan
- ☒ Comments of Resident Advisory Board or Boards (must be attached if not included in PHA Plan text): **Attach C**
- ☒ Other (List below, providing each attachment name)

Revisions to Public Housing ACOP: Attach I

Required VAWA Information: Attach J

Supporting Documents Available for Review

Indicate which documents are available for public review by placing a mark in the “Applicable & On Display” column in the appropriate rows. All listed documents must be on display if applicable to the program activities conducted by the PHA.

| List of Supporting Documents Available for Review | | |
|--|---|--|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| X | PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations | 5 Year and Annual Plans |
| X | State/Local Government Certification of Consistency with the Consolidated Plan | 5 Year and Annual Plans |
| X | Fair Housing Documentation: Records reflecting that the PHA has examined its programs or proposed programs, identified any impediments to fair housing choice in those programs, addressed or is addressing those impediments in a reasonable fashion in view of the resources available, and worked or is working with local jurisdictions to implement any of the jurisdictions’ initiatives to affirmatively further fair housing that require the PHA’s involvement. | 5 Year and Annual Plans |
| X | Consolidated Plan for the jurisdiction/s in which the PHA is located (which includes the Analysis of Impediments to Fair Housing Choice (AI)) and any additional backup data to support statement of housing needs in the jurisdiction | Annual Plan: Housing Needs |
| X | Most recent board-approved operating budget for the public housing program | Annual Plan: Financial Resources; |
| X | Public Housing Admissions and (Continued) Occupancy Policy (A&O), which includes the Tenant Selection and Assignment Plan [TSAP] | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Section 8 Administrative Plan | Annual Plan: Eligibility, Selection, and Admissions Policies |
| N/A | Public Housing Deconcentration and Income Mixing Documentation: 1. PHA board certifications of compliance with deconcentration requirements (section 16(a) of the US Housing Act of 1937, as implemented in the 2/18/99 <i>Quality Housing and Work Responsibility Act Initial Guidance; Notice</i> and any further HUD guidance) and 2. Documentation of the required deconcentration and income mixing analysis | Annual Plan: Eligibility, Selection, and Admissions Policies |
| X | Public housing rent determination policies, including the methodology for setting public housing flat rents <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |
| X | Schedule of flat rents offered at each public housing development <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Rent Determination |

| List of Supporting Documents Available for Review | | |
|--|---|---|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| X | Section 8 rent determination (payment standard) policies <input type="checkbox"/> check here if included in Section 8 Administrative Plan | Annual Plan: Rent Determination |
| X | Public housing management and maintenance policy documents, including policies for the prevention or eradication of pest infestation (including cockroach infestation) | Annual Plan: Operations and Maintenance |
| X | Public housing grievance procedures <input type="checkbox"/> check here if included in the public housing A & O Policy | Annual Plan: Grievance Procedures |
| X | Section 8 informal review and hearing procedures <input type="checkbox"/> check here if included in Section 8 Administrative Plan | Annual Plan: Grievance Procedures |
| X | The HUD-approved Capital Fund/Comprehensive Grant Program Annual Statement (HUD 52837) for the active grant year | Annual Plan: Capital Needs |
| X | Most recent CIAP Budget/Progress Report (HUD 52825) for any active CIAP grant | Annual Plan: Capital Needs |
| X | Most recent, approved 5 Year Action Plan for the Capital Fund/Comprehensive Grant Program, if not included as an attachment (provided at PHA option) | Annual Plan: Capital Needs |
| N/A | Approved HOPE VI applications or, if more recent, approved or submitted HOPE VI Revitalization Plans or any other approved proposal for development of public housing | Annual Plan: Capital Needs |
| N/A | Approved or submitted applications for demolition and/or disposition of public housing | Annual Plan: Demolition and Disposition |
| N/A | Approved or submitted applications for designation of public housing (Designated Housing Plans) | Annual Plan: Designation of Public Housing |
| N/A | Approved or submitted assessments of reasonable revitalization of public housing and approved or submitted conversion plans prepared pursuant to section 202 of the 1996 HUD Appropriations Act | Annual Plan: Conversion of Public Housing |
| N/A | Approved or submitted public housing homeownership programs/plans | Annual Plan: Homeownership |
| N/A | Policies governing any Section 8 Homeownership program <input type="checkbox"/> check here if included in the Section 8 Administrative Plan | Annual Plan: Homeownership |
| N/A | Any cooperative agreement between the PHA and the TANF agency | Annual Plan: Community Service & Self-Sufficiency |
| N/A | FSS Action Plan/s for public housing and/or Section 8 | Annual Plan: Community Service & Self-Sufficiency |
| N/A | Most recent self-sufficiency (ED/SS, TOP or ROSS or other resident services grant) grant program reports | Annual Plan: Community Service & Self-Sufficiency |
| NA | The most recent Public Housing Drug Elimination Program (PHDEP) semi-annual performance report for any open grant and most recently submitted PHDEP application (PHDEP Plan) | Annual Plan: Safety and Crime Prevention |
| X | The most recent fiscal year audit of the PHA conducted | Annual Plan: Annual Audit |

| List of Supporting Documents Available for Review | | |
|--|--|--------------------------------------|
| Applicable & On Display | Supporting Document | Applicable Plan Component |
| | under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h)), the results of that audit and the PHA's response to any findings | |
| X | Troubled PHAs: MOA/Recovery Plan | Troubled PHAs |
| N/A | Other supporting documents (optional) (list individually; use as many lines as necessary) | (specify as needed) |
| | | |

1. Statement of Housing Needs

[24 CFR Part 903.7 9 (a)]

A. Housing Needs of Families in the Jurisdiction/s Served by the PHA

Based upon the information contained in the Consolidated Plan/s applicable to the jurisdiction, and/or other data available to the PHA, provide a statement of the housing needs in the jurisdiction by completing the following table. In the "Overall" Needs column, provide the estimated number of renter families that have housing needs. For the remaining characteristics, rate the impact of that factor on the housing needs for each family type, from 1 to 5, with 1 being "no impact" and 5 being "severe impact." Use N/A to indicate that no information is available upon which the PHA can make this assessment.

CHART NO. 1 OF 2: Jurisdiction is Sandusky County, Ohio (less City of Fremont)

| Housing Needs of Families in the Jurisdiction by Family Type | | | | | | | |
|---|-----------------------|--------------------|--------|---------|--------------------|------|---------------|
| Family Type | Overall | Afford- ability | Supply | Quality | Access- ibility | Size | Loca- tion |
| Income <= 30% of AMI | 1,041 households (HH) | 5 | 5 | 3 | N/A | N/A | N/A |
| Income >30% but <=50% of AMI | 955 HH | 5 | 5 | 3 | N/A | N/A | N/A |
| Income >50% but <80% of AMI | 1,479 HH | 5 | 3 | 4 | N/A | N/A | N/A |
| Elderly | 900 HH | 5 | 5 | 4 | 5 | N/A | N/A |
| Families with Disabilities* | 979 HH | 5 | 5 | 4 | 5 | N/A | N/A |
| African-American | 237 HH | N/A | N/A | N/A | N/A | N/A | N/A |
| Hispanic | 275 HH | N/A | N/A | N/A | N/A | N/A | N/A |
| Asian | 29 HH | N/A | N/A | N/A | N/A | N/A | N/A |
| Victim of Domestic Violence** | 1 | | | | | | |

*mobility and self care limitation

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

- ☒ Consolidated Plan of the Jurisdiction/s (Sandusky County CHIS)
Indicate year: 2004
- ☒ U.S. Census data: the Comprehensive Housing Affordability Strategy ("CHAS") dataset for Sandusky County, Ohio; 2000 Census
- ☐ American Housing Survey data
Indicate year:
- ☐ Other housing market study
Indicate year:
- ☒ Other sources: (list and indicate year of information): ** next page

**Victim of domestic violence: Sandusky County Continuum of Care Homeless Count Chart, January 31, 2007, shows that no persons included in this head count of homeless persons were victims of domestic violence.

CHART NO. 2 OF 2: Jurisdiction is City of Fremont, Ohio

| Housing Needs of Families in the Jurisdiction by Family Type | | | | | | | |
|---|---------------------|--------------------|--------|---------|--------------------|------|---------------|
| Family Type | Overall | Afford- ability | Supply | Quality | Access- ibility | Size | Loca- tion |
| Income <= 30% of AMI | 601 households (HH) | 5 | 5 | 3 | N/A | N/A | N/A |
| Income >30% but <=50% of AMI | 574 HH | 5 | 5 | 3 | N/A | N/A | N/A |
| Income >50% but <80% of AMI | 673 HH | 5 | 3 | 3 | N/A | N/A | N/A |
| Elderly | 460 HH | 5 | 5 | 5 | 5 | N/A | N/A |
| Families with Disabilities* | 562 HH | 5 | 5 | 5 | 5 | N/A | N/A |
| African-American | 223 HH | N/A | N/A | N/A | N/A | N/A | N/A |
| Hispanic | 190 HH | N/A | N/A | N/A | N/A | N/A | N/A |
| Asian | 4 HH | N/A | N/A | N/A | N/A | N/A | N/A |
| Victim of Domestic Violence** | 0 | | | | | | |

*mobility and self care limitation

What sources of information did the PHA use to conduct this analysis? (Check all that apply; all materials must be made available for public inspection.)

☒ Consolidated Plan of the Jurisdiction/s (*City of Fremont, Ohio, CHIS*)
Indicate year: 2004

☒ U.S. Census data: the Comprehensive Housing Affordability Strategy (“CHAS”) dataset for City of Fremont, Ohio; 2000 Census

☐ American Housing Survey data
Indicate year:

☐ Other housing market study
Indicate year:

☒ Other sources: (list and indicate year of information):

**Victim of domestic violence: Sandusky County Continuum of Care Homeless Count Chart, January 31, 2007, indicated one person fell in this category, but the location was not specific so it was included in the Sandusky County data above (Chart 1).

B. Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists

State the housing needs of the families on the PHA's waiting list/s. **Complete one table for each type of PHA-wide waiting list administered by the PHA.** PHAs may provide separate tables for site-based or sub-jurisdictional public housing waiting lists at their option.

| Housing Needs of Families on the Waiting List | | | |
|--|---|---------------------|-----------------|
| Waiting list type: (select one) | | | |
| <input checked="" type="checkbox"/> | Section 8 tenant-based assistance | | |
| <input type="checkbox"/> | Public Housing | | |
| <input type="checkbox"/> | Combined Section 8 and Public Housing | | |
| <input type="checkbox"/> | Public Housing Site-Based or sub-jurisdictional waiting list (optional) | | |
| If used, identify which development/subjurisdiction: | | | |
| | # of families | % of total families | Annual Turnover |
| Waiting list total | 365 | | 42 |
| Extremely low income <=30% AMI | Not tracked | Not tracked | |
| Very low income (>30% but <=50% AMI) | Not tracked | Not tracked | |
| Low income (>50% but <80% AMI) | Not tracked | Not tracked | |
| Families with children | 262 | 71% | |
| Elderly families | 10 | 2% | |
| Families with Disabilities | 93 | 25% | |
| White | 279 | 76% | |
| African-American | 74 | 20% | |
| Hispanic | 47 | 12% | |
| Other | 12 | 3% | |
| Is the waiting list closed (select one)? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes | | | |
| If yes: | | | |
| How long has it been closed (# of months)? 7 months | | | |
| Does the PHA expect to reopen the list in the PHA Plan year? <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes | | | |
| Does the PHA permit specific categories of families onto the waiting list, even if generally closed? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes | | | |

| Housing Needs of Families on the Waiting List | | | |
|--|---------------|---------------------|-----------------|
| Waiting list type: (select one) | | | |
| <input type="checkbox"/> Section 8 tenant-based assistance <input checked="" type="checkbox"/> Public Housing <input type="checkbox"/> Combined Section 8 and Public Housing <input type="checkbox"/> Public Housing Site-Based or sub-jurisdictional waiting list (optional) If used, identify which development/subjurisdiction: | | | |
| | # of families | % of total families | Annual Turnover |
| Waiting list total | 221 | | 13 |
| Extremely low income <=30% AMI | Not tracked | Not tracked | |
| Very low income (>30% but <=50% AMI) | Not tracked | Not tracked | |
| Low income (>50% but <80% AMI) | Not tracked | Not tracked | |
| Families with children | 170 | 76% | |
| Elderly families | 1 | 0% | |
| Families with Disabilities | 50 | 22% | |
| White | 166 | 75% | |
| African-American | 48 | 21% | |
| Hispanic | 29 | 13% | |
| Other | 7 | 3% | |
| Characteristics by Bedroom Size (Public Housing Only) | | | |
| 1BR | N/A | N/A | |
| 2 BR | 133 | 60% | |
| 3 BR | 88 | 40% | |
| 4 BR | N/A | N/A | |
| 5 BR | N/A | N/A | |
| 5+ BR | N/A | N/A | |

Housing Needs of Families on the Waiting List

Is the waiting list closed (select one)? ☐ No ☒ Yes

If yes:

How long has it been closed (# of months)? 7 months

Does the PHA expect to reopen the list in the PHA Plan year? ☐ No ☒ Yes

Does the PHA permit specific categories of families onto the waiting list, even if generally closed? ☒ No ☐ Yes

C. Strategy for Addressing Needs

Provide a brief description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list **IN THE UPCOMING YEAR**, and the Agency's reasons for choosing this strategy.

Voucher Program: Based on the average per unit per month Housing Assistance Payment amount in 2007 as indicated by HUD's VMS and the HCV funding notice received March 14, 2008, the SMHA can assist an estimated average of 275 families per month in 2008. Efforts are continuing to increase the lease-up of Voucher holders. Forty families were contacted from the waiting list in November 2007, some of whom still have active Vouchers and are seeking housing, and another 30 families at the end of March.

The Waiting List was open from early February 2007 through August 2007. Approximately 400 names were placed on the list. As of the end of March, 365 names were still on the list.

Public Housing: Five families currently in 2-bedroom units but needing 3-bedroom units are being processed for unit transfers. This will open up more 2-bedroom units and will require another contact be made from the waiting list.

The Waiting List was also open from February through August 2007. Approximately 195 names were placed on the list. Some names, an estimated 30-35, will probably be removed in April 2008.

General comments re: Occupancy: The SMHA has one full time Occupancy Specialist who takes care of occupancy for both HCV and Public Housing. She oversees all occupancy related activity, from entering names onto the waiting list, contacting names from the list, updating the list, and all the steps to be completed towards occupancy after that (program briefings, eligibility determinations and rents calculations). The Assistant Account Clerk assists the Occupancy Specialist on a part time basis (approximately 25-30%) with related clerical and receptionist duties. The workload for the two programs is quite large for the amount of staff on hand. The Executive Director also helps as needed, mostly by researching questions about regulations or policies and helping with some clerical work.

(1) Strategies

Need: Shortage of affordable housing for all eligible populations

Strategy 1. Maximize the number of affordable units available to the PHA within its current resources by:

Select all that apply

- ☒ Employ effective maintenance and management policies to minimize the number of public housing units off-line
- ☒ Reduce turnover time for vacated public housing units
- ☒ Reduce time to renovate public housing units
- ☐ Seek replacement of public housing units lost to the inventory through mixed finance development
- ☐ Seek replacement of public housing units lost to the inventory through section 8 replacement housing resources
- ☒ Maintain or increase section 8 lease-up rates by establishing payment standards that will enable families to rent throughout the jurisdiction
- ☒ Undertake measures to ensure access to affordable housing among families assisted by the PHA, regardless of unit size required
- ☐ Maintain or increase section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration
- ☒ Maintain or increase section 8 lease-up rates by effectively screening Section 8 applicants to increase owner acceptance of program
- ☒ Participate in the Consolidated Plan development process to ensure coordination with broader community strategies
- ☐ Other (list below)

Strategy 2: Increase the number of affordable housing units by:

Select all that apply

- ☐ Apply for additional section 8 units should they become available
- ☐ Leverage affordable housing resources in the community through the creation of mixed - finance housing
- ☒ Pursue housing resources other than public housing or Section 8 tenant-based assistance. Community Capital Assistance Housing Program (CCAHP) funds are obtained from the State of Ohio and local Mental Retardation/Developmental Disabilities boards when available for the purchase of rental housing for Sandusky County MRDD residents.
- ☒ Other: (list below)
The Sandusky MHA manages a 120-unit property (private management) that has affordable rents tied to Ohio's HOME funds and Housing Trust Funds.

Need: Specific Family Types: Families at or below 30% of median

Strategy 1: Target available assistance to families at or below 30 % of AMI

Select all that apply

- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in public housing
- ☐ Exceed HUD federal targeting requirements for families at or below 30% of AMI in tenant-based section 8 assistance
- ☐ Employ admissions preferences aimed at families with economic hardships

- ☐ Adopt rent policies to support and encourage work
- ☒ Other: (list below)
Waiting lists have enough applicants in this income category to meet targeting requirements.

Need: Specific Family Types: Families at or below 50% of median

Strategy 1: Target available assistance to families at or below 50% of AMI

Select all that apply

- ☐ Employ admissions preferences aimed at families who are working
- ☐ Adopt rent policies to support and encourage work
- ☒ Other: (list below)
Waiting lists have enough applicants in this income category to meet targeting requirements.

Need: Specific Family Types: The Elderly

Strategy 1: Target available assistance to the elderly:

Select all that apply

- ☐ Seek designation of public housing for the elderly
- ☐ Apply for special-purpose vouchers targeted to the elderly, should they become available
- ☐ Other: (list below)

Need: Specific Family Types: Families with Disabilities

Strategy 1: Target available assistance to Families with Disabilities:

Select all that apply

- ☐ Seek designation of public housing for families with disabilities
- ☐ Carry out the modifications needed in public housing based on the section 504 Needs Assessment for Public Housing
- ☐ Apply for special-purpose vouchers targeted to families with disabilities, should they become available
- ☐ Affirmatively market to local non-profit agencies that assist families with disabilities
- ☐ Other: (list below)

Need: Specific Family Types: Races or ethnicities with disproportionate housing needs

Strategy 1: Increase awareness of PHA resources among families of races and ethnicities with disproportionate needs:

Select if applicable

- ☐ Affirmatively market to races/ethnicities shown to have disproportionate housing needs
- ☐ Other: (list below)

Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- ☐ Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- ☐ Market the section 8 program to owners outside of areas of poverty /minority concentrations
- ☐ Other: (list below)

Other Housing Needs & Strategies: (list needs and strategies below)

(2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- ☒ Funding constraints
- ☒ Staffing constraints
- ☐ Limited availability of sites for assisted housing
- ☒ Extent to which particular housing needs are met by other organizations in the community
- ☒ Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- ☒ Influence of the housing market on PHA programs
- ☒ Community priorities regarding housing assistance
- ☐ Results of consultation with local or state government
- ☐ Results of consultation with residents and the Resident Advisory Board
- ☒ Results of consultation with advocacy groups
- ☐ Other: (list below)

2. Statement of Financial Resources

[24 CFR Part 903.7 9 (b)]

List the financial resources that are anticipated to be available to the PHA for the support of Federal public housing and tenant-based Section 8 assistance programs administered by the PHA during the Plan year. Note: the table assumes that Federal public housing or tenant based Section 8 assistance grant funds are expended on eligible purposes; therefore, uses of these funds need not be stated. For other funds, indicate the use for those funds as one of the following categories: public housing operations,

public housing capital improvements, public housing safety/security, public housing supportive services, Section 8 tenant-based assistance, Section 8 supportive services or other.

| Financial Resources: Planned Sources and Uses | | |
|---|-------------------|---------------------|
| Sources | Planned \$ | Planned Uses |
| 1. Federal Grants (FY 2008 grants) | | |
| a) Public Housing Operating Fund | 119,916 | |
| b) Public Housing Capital Fund | 77,297 | |
| c) HOPE VI Revitalization | | |
| d) HOPE VI Demolition | | |
| e) Annual Contributions for Section 8 Tenant-Based Assistance | 887,709 | |
| f) Public Housing Drug Elimination Program (including any Technical Assistance funds) | | |
| g) Resident Opportunity and Self-Sufficiency Grants | | |
| h) Community Development Block Grant | | |
| i) HOME | | |
| Other Federal Grants (list below) | | |
| | | |
| 2. Prior Year Federal Grants (unobligated funds only) (list below) | | |
| 2005 | 33,933 | |
| 2006 | 55,075 | |
| 2007 | 71,875 | |
| 3. Public Housing Dwelling Rental Income | 29,854 | |
| | | |
| | | |
| 4. Other income (list below) | | |
| Tenant charges | 6,000 | |
| Interest income | 4,000 | |
| 5. Non-federal sources (list below) | | |
| Private management, 120 units | 316,000 | |
| Private management, 10 units (MRDD) | 74,808 | |
| | | |
| Total resources | 1,705,121 | |
| | | |
| | | |

3. PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete subcomponent 3A.

(1) Eligibility

a. When does the PHA verify eligibility for admission to public housing? (select all that apply)

- ☒ When families are within a certain number of being offered a unit: within at least five (5) of the top of the waiting list
- ☒ When families are within a certain time of being offered a unit: at the time the family is contacted about a unit
- ☐ Other: (describe)

b. Which non-income (screening) factors does the PHA use to establish eligibility for admission to public housing (select all that apply)?

- ☒ Criminal or Drug-related activity
- ☒ Rental history
- ☐ Housekeeping
- ☒ Other: Landlord references, utility payment history, previous balance due Sandusky MHA or other assisted housing lived in by the applicant, if known to the Sandusky MHA.

c. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

d. ☐ Yes ☒ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

e. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

(2)Waiting List Organization

a. Which methods does the PHA plan to use to organize its public housing waiting list (select all that apply)

- ☒ Community-wide list
- ☐ Sub-jurisdictional lists
- ☐ Site-based waiting lists
- ☐ Other (describe)

b. Where may interested persons apply for admission to public housing?

- ☒ PHA main administrative office
☐ PHA development site management office
☐ Other (list below)

c. If the PHA plans to operate one or more site-based waiting lists in the coming year, answer each of the following questions; if not, skip to subsection **(3) Assignment**

1. How many site-based waiting lists will the PHA operate in the coming year?

2. ☐ Yes ☐ No: Are any or all of the PHA's site-based waiting lists new for the upcoming year (that is, they are not part of a previously-HUD-approved site based waiting list plan)?
If yes, how many lists?

3. ☐ Yes ☐ No: May families be on more than one list simultaneously?
If yes, how many lists?

4. Where can interested persons obtain more information about and sign up to be on the site-based waiting lists (select all that apply)?

- ☐ PHA main administrative office
☐ All PHA development management offices
☐ Management offices at developments with site-based waiting lists
☐ At the development to which they would like to apply
☐ Other (list below)

(3) Assignment

a. How many vacant unit choices are applicants ordinarily given before they fall to the bottom of or are removed from the waiting list? (select one)

- ☒ One
☐ Two
☐ Three or More

b. ☒ Yes ☐ No: Is this policy consistent across all waiting list types?

c. If answer to b is no, list variations for any other than the primary public housing waiting list/s for the PHA:

(4) Admissions Preferences

a. Income targeting:

- ☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 40% of all new admissions to public housing to families at or below 30% of median area income?

b. Transfer policies:

In what circumstances will transfers take precedence over new admissions? (list below)

- ☐ Emergencies
- ☒ Overhoused
- ☒ Underhoused
- ☒ Medical justification
- ☐ Administrative reasons determined by the PHA (e.g., to permit modernization work)
- ☐ Resident choice: (state circumstances below)
- ☐ Other: (list below)

c. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to public housing (other than date and time of application)? (If “no” is selected, skip to subsection **(5) Occupancy**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences:

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☐ Victims of domestic violence
- ☐ Substandard housing
- ☐ Homelessness
- ☐ High rent burden (rent is > 50 percent of income)

Other preferences: (select below)

- ☐ Working families and those unable to work because of age or disability
- ☒ Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences:

Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
Victims of domestic violence
Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☒ **2** Veterans and veterans' families
- ☐ Residents who live and/or work in the jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☐ Other preference(s) (list below)

4. Relationship of preferences to income targeting requirements:

- ☐ The PHA applies preferences within income tiers
- ☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Occupancy

a. What reference materials can applicants and residents use to obtain information about the rules of occupancy of public housing (select all that apply)

- ☒ The PHA-resident lease
- ☒ The PHA's Admissions and (Continued) Occupancy policy
- ☒ PHA briefing seminars or written materials
- ☐ Other source (list)

b. How often must residents notify the PHA of changes in family composition?
(select all that apply)

- ☒ At an annual reexamination and lease renewal
- ☒ Any time family composition changes
- ☐ At family request for revision
- ☐ Other (list)

(6) Deconcentration and Income Mixing

a. ☐ Yes ☒ No: Did the PHA's analysis of its family (general occupancy) developments to determine concentrations of poverty indicate the need for measures to promote deconcentration of poverty or income mixing?

b. ☐ Yes ☒ No: Did the PHA adopt any changes to its **admissions policies** based on the results of the required analysis of the need to promote deconcentration of poverty or to assure income mixing?

c. If the answer to b was yes, what changes were adopted? (select all that apply)

- ☐ Adoption of site-based waiting lists
If selected, list targeted developments below:
- ☐ Employing waiting list "skipping" to achieve deconcentration of poverty or income mixing goals at targeted developments
If selected, list targeted developments below:
- ☐ Employing new admission preferences at targeted developments
If selected, list targeted developments below:
- ☐ Other (list policies and developments targeted below)

d. ☐ Yes ☐ No: Did the PHA adopt any changes to **other** policies based on the results of the required analysis of the need for deconcentration of poverty and income mixing?

e. If the answer to d was yes, how would you describe these changes? (select all that apply)

- ☐ Additional affirmative marketing
- ☐ Actions to improve the marketability of certain developments
- ☐ Adoption or adjustment of ceiling rents for certain developments

- ☐ Adoption of rent incentives to encourage deconcentration of poverty and income-mixing
- ☐ Other (list below)

f. Based on the results of the required analysis, in which developments will the PHA make special efforts to attract or retain higher-income families? (select all that apply)

- ☒ Not applicable: results of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:

g. Based on the results of the required analysis, in which developments will the PHA make special efforts to assure access for lower-income families? (select all that apply)

- ☒ Not applicable: results of analysis did not indicate a need for such efforts
- ☐ List (any applicable) developments below:

B. Section 8

Exemptions: PHAs that do not administer section 8 are not required to complete sub-component 3B. Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).

(1) Eligibility

a. What is the extent of screening conducted by the PHA? (select all that apply)

- ☒ Criminal or drug-related activity only to the extent required by law or regulation
- ☐ Criminal and drug-related activity, more extensively than required by law or regulation
- ☐ More general screening than criminal and drug-related activity (list factors below)
- ☒ Other:
Balances due Sandusky MHA or other previous assisted housing lived in by applicant

b. ☒ Yes ☐ No: Does the PHA request criminal records from local law enforcement agencies for screening purposes?

c. ☐ Yes ☒ No: Does the PHA request criminal records from State law enforcement agencies for screening purposes?

d. ☐ Yes ☒ No: Does the PHA access FBI criminal records from the FBI for screening purposes? (either directly or through an NCIC-authorized source)

- e. Indicate what kinds of information you share with prospective landlords? (select all that apply)

☒ Criminal or drug-related activity

☒ Other:

Former landlord names and phone numbers, if known to Sandusky MHA. If SMHA was the former landlord, routine landlord references would be completed for new landlords if requested by them.

(2) Waiting List Organization

- a. With which of the following program waiting lists is the section 8 tenant-based assistance waiting list merged? (select all that apply)

☒ None

☐ Federal public housing

☐ Federal moderate rehabilitation

☐ Federal project-based certificate program

☐ Other federal or local program (list below)

- b. Where may interested persons apply for admission to section 8 tenant-based assistance? (select all that apply)

☒ PHA main administrative office

☐ Other (list below)

(3) Search Time

- a. ☐ Yes ☒ No: Does the PHA give extensions on standard 60-day period to search for a unit?

If yes, state circumstances below:

In November 2006, the Sandusky MHA Board revised agency policies to extend time to 120 days (1 additional 60-day increment).

(4) Admissions Preferences

- a. Income targeting

☐ Yes ☒ No: Does the PHA plan to exceed the federal targeting requirements by targeting more than 75% of all new admissions to the section 8 program to families at or below 30% of median area income?

- b. Preferences

1. ☒ Yes ☐ No: Has the PHA established preferences for admission to section 8 tenant-based assistance? (other than date and time of application) (if no, skip to subcomponent **(5) Special purpose section 8 assistance programs**)
2. Which of the following admission preferences does the PHA plan to employ in the coming year? (select all that apply from either former Federal preferences or other preferences)

Former Federal preferences

- ☐ Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- ☐ Victims of domestic violence
- ☐ Substandard housing
- ☒ Homelessness
- ☐ High rent burden (rent is > 50 percent of income)

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
- ☒ Veterans and veterans' families
- ☐ Residents who live and/or work in your jurisdiction
- ☐ Those enrolled currently in educational, training, or upward mobility programs
- ☐ Households that contribute to meeting income goals (broad range of incomes)
- ☐ Households that contribute to meeting income requirements (targeting)
- ☐ Those previously enrolled in educational, training, or upward mobility programs
- ☐ Victims of reprisals or hate crimes
- ☒ Other preference(s) (list below)
- (1) Family is PH resident and is over- or under-housed in public housing (needing a unit smaller or larger than agency has available). (2) Disabled family. (3) Victim of federally declared disaster.

3. If the PHA will employ admissions preferences, please prioritize by placing a "1" in the space that represents your first priority, a "2" in the box representing your second priority, and so on. If you give equal weight to one or more of these choices (either through an absolute hierarchy or through a point system), place the same number next to each. That means you can use "1" more than once, "2" more than once, etc.

1 Date and Time

Former Federal preferences

- Involuntary Displacement (Disaster, Government Action, Action of Housing Owner, Inaccessibility, Property Disposition)
- Victims of domestic violence

- 3 Substandard housing
Homelessness
High rent burden

Other preferences (select all that apply)

- ☐ Working families and those unable to work because of age or disability
☒ **2** Veterans and veterans' families
☐ Residents who live and/or work in your jurisdiction
☐ Those enrolled currently in educational, training, or upward mobility programs
☐ Households that contribute to meeting income goals (broad range of incomes)
☐ Households that contribute to meeting income requirements (targeting)
☐ Those previously enrolled in educational, training, or upward mobility programs
☐ Victims of reprisals or hate crimes
☐ Other preference(s) (list below)
3 Over- or under-housed public housing residents
3 Victims of federally declared natural disaster

4. Among applicants on the waiting list with equal preference status, how are applicants selected? (select one)

- ☒ Date and time of application
☐ Drawing (lottery) or other random choice technique

5. If the PHA plans to employ preferences for "residents who live and/or work in the jurisdiction" (select one)

- ☐ This preference has previously been reviewed and approved by HUD
☐ The PHA requests approval for this preference through this PHA Plan

6. Relationship of preferences to income targeting requirements: (select one)

- ☐ The PHA applies preferences within income tiers
☒ Not applicable: the pool of applicant families ensures that the PHA will meet income targeting requirements

(5) Special Purpose Section 8 Assistance Programs

a. In which documents or other reference materials are the policies governing eligibility, selection, and admissions to any special-purpose section 8 program administered by the PHA contained? (select all that apply)

- ☐ The Section 8 Administrative Plan
☐ Briefing sessions and written materials

☐ Other (list below)

b. How does the PHA announce the availability of any special-purpose section 8 programs to the public?

☐ Through published notices

☐ Other (list below)

4. PHA Rent Determination Policies

[24 CFR Part 903.7 9 (d)]

A. Public Housing

Exemptions: PHAs that do not administer public housing are not required to complete sub-component 4A.

(1) Income Based Rent Policies

Describe the PHA's income based rent setting policy/ies for public housing using, including discretionary (that is, not required by statute or regulation) income disregards and exclusions, in the appropriate spaces below.

a. Use of discretionary policies: (select one)

☐ The PHA will not employ any discretionary rent-setting policies for income based rent in public housing. Income-based rents are set at the higher of 30% of adjusted monthly income, 10% of unadjusted monthly income, the welfare rent, or minimum rent (less HUD mandatory deductions and exclusions). (If selected, skip to sub-component (2))

---or---

☒ The PHA employs discretionary policies for determining income based rent (If selected, continue to question b.)

b. Minimum Rent

1. What amount best reflects the PHA's minimum rent? (select one)

☒ \$0
☐ \$1-\$25
☐ \$26-\$50

2. ☐ Yes ☐ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies?

3. If yes to question 2, list these policies below:

c. Rents set at less than 30% than adjusted income

1. ☐ Yes ☒ No: Does the PHA plan to charge rents at a fixed amount or percentage less than 30% of adjusted income?

2. If yes to above, list the amounts or percentages charged and the circumstances under which these will be used below:

d. Which of the discretionary (optional) deductions and/or exclusions policies does the PHA plan to employ (select all that apply)

☐ For the earned income of a previously unemployed household member

☐ For increases in earned income

☐ Fixed amount (other than general rent-setting policy)

If yes, state amount/s and circumstances below:

☐ Fixed percentage (other than general rent-setting policy)

If yes, state percentage/s and circumstances below:

☐ For household heads

☐ For other family members

☐ For transportation expenses

☐ For the non-reimbursed medical expenses of non-disabled or non-elderly families

☐ Other (describe below)

e. Ceiling rents

1. Do you have ceiling rents? (rents set at a level lower than 30% of adjusted income) (select one)

☒ Yes for all developments

☐ Yes but only for some developments

☐ No

2. For which kinds of developments are ceiling rents in place? (select all that apply)

☒ For all developments: SMHA has only one development (for families)

- ☐ For all general occupancy developments (not elderly or disabled or elderly only)
- ☐ For specified general occupancy developments
- ☐ For certain parts of developments; e.g., the high-rise portion
- ☐ For certain size units; e.g., larger bedroom sizes
- ☐ Other (list below)

3. Select the space or spaces that best describe how you arrive at ceiling rents (select all that apply)

- ☐ Market comparability study
- ☒ Fair market rents (FMR)
- ☐ 95th percentile rents
- ☐ 75 percent of operating costs
- ☒ 100 percent of operating costs for general occupancy (family) developments
- ☐ Operating costs plus debt service
- ☐ The "rental value" of the unit
- ☐ Other (list below)

f. Rent re-determinations:

1. Between income reexaminations, how often must tenants report changes in income or family composition to the PHA such that the changes result in an adjustment to rent? (select all that apply)

- ☐ Never
- ☐ At family option
- ☒ Any time the family experiences an income increase
- ☐ Any time a family experiences an income increase above a threshold amount or percentage: (if selected, specify threshold)_____
- ☒ Other (list below)
Any time a family experiences an income decrease or a change in family composition

g. ☐ Yes ☒ No: Does the PHA plan to implement individual savings accounts for residents (ISAs) as an alternative to the required 12 month disallowance of earned income and phasing in of rent increases in the next year?

(2) Flat Rents

1. In setting the market-based flat rents, what sources of information did the PHA use to establish comparability? (select all that apply.)

- ☐ The section 8 rent reasonableness study of comparable housing
- ☐ Survey of rents listed in local newspaper
- ☐ Survey of similar unassisted units in the neighborhood
- ☒ Other (list/describe below)
Fair Market rents

B. Section 8 Tenant-Based Assistance

Exemptions: PHAs that do not administer Section 8 tenant-based assistance are not required to complete sub-component 4B. **Unless otherwise specified, all questions in this section apply only to the tenant-based section 8 assistance program (vouchers, and until completely merged into the voucher program, certificates).**

(1) Payment Standards

Describe the voucher payment standards and policies.

a. What is the PHA's payment standard? (select the category that best describes your standard)

- ☐ At or above 90% but below 100% of FMR
- ☐ 100% of FMR
- ☒ Above 100% but at or below 110% of FMR
- ☐ Above 110% of FMR (if HUD approved; describe circumstances below)

b. If the payment standard is lower than FMR, why has the PHA selected this standard? (select all that apply)

- ☐ FMRs are adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ The PHA has chosen to serve additional families by lowering the payment standard
- ☐ Reflects market or submarket
- ☐ Other (list below)

c. If the payment standard is higher than FMR, why has the PHA chosen this level? (select all that apply)

- ☒ FMRs are not adequate to ensure success among assisted families in the PHA's segment of the FMR area
- ☐ Reflects market or submarket
- ☒ To increase housing options for families
- ☐ Other (list below)

d. How often are payment standards reevaluated for adequacy? (select one)

- ☒ Annually

☐ Other (list below)

e. What factors will the PHA consider in its assessment of the adequacy of its payment standard? (select all that apply)

- ☒ Success rates of assisted families
☒ Rent burdens of assisted families
☐ Other (list below)

(2) Minimum Rent

a. What amount best reflects the PHA's minimum rent? (select one)

- ☒ \$0
☐ \$1-\$25
☐ \$26-\$50

b. ☐ Yes ☒ No: Has the PHA adopted any discretionary minimum rent hardship exemption policies? (if yes, list below)

5. Operations and Management

[24 CFR Part 903.7 9 (e)]

Exemptions from Component 5: High performing and small PHAs are not required to complete this section. Section 8 only PHAs must complete parts A, B, and C(2)

A. PHA Management Structure

Describe the PHA's management structure and organization.

(select one)

- ☒ An organization chart showing the PHA's management structure and organization is attached.
☐ A brief description of the management structure and organization of the PHA follows:

B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use "NA" to indicate that the PHA does not operate any of the programs listed below.)

| Program Name | Units or Families Served at Year Beginning | Expected Turnover |
|--------------|--|-------------------|
| | | |

| | | |
|---|-----|----|
| Public Housing | 48 | 14 |
| Section 8 Vouchers | 250 | 40 |
| Section 8 Certificates | | |
| Section 8 Mod Rehab | | |
| Special Purpose Section 8 Certificates/Vouchers (list individually) | | |
| Public Housing Drug Elimination Program (PHDEP) | | |
| | | |
| | | |
| Other Federal Programs(list individually) | | |
| | | |
| | | |

C. Management and Maintenance Policies

List the PHA's public housing management and maintenance policy documents, manuals and handbooks that contain the Agency's rules, standards, and policies that govern maintenance and management of public housing, including a description of any measures necessary for the prevention or eradication of pest infestation (which includes cockroach infestation) and the policies governing Section 8 management.

(1) Public Housing Maintenance and Management: (list below)

- Public Housing Admissions and Occupancy Policy
- Safety Policy
- Capitalization Policy*
- Community Space Policy
- Disposition Policy*
- Drug Free Workplace Policy*
- Investment Policy*
- Maintenance Policy (including Pest Control Policy)
- Procurement Policy*
- Personnel Policy*, which includes Travel Policy and Equal Housing Opportunity Policies

Eradication of Pests: The initial action taken if cockroaches have been reported is that maintenance staff will place roach motels in the infested areas. The situation will be monitored regularly. If the problem still exists after a reasonable period of time, a professional exterminator will be contacted. Residents will be given reasonable notice and instructions on how to prepare for the exterminator. Professional exterminators are called for ant and bee/wasp/hornet problems around the exteriors of buildings, as needed.

(2) Section 8 Management: (list below)

- Section 8 Administrative Plan
- All other policies listed above with “**”

6. PHA Grievance Procedures

[24 CFR Part 903.7 9 (f)]

Exemptions from component 6: High performing PHAs are not required to complete component 6. Section 8-Only PHAs are exempt from sub-component 6A.

A. Public Housing

1. ☐ Yes ☒ No: Has the PHA established any written grievance procedures in addition to federal requirements found at 24 CFR Part 966, Subpart B, for residents of public housing?

If yes, list additions to federal requirements below:

2. Which PHA office should residents or applicants to public housing contact to initiate the PHA grievance process? (select all that apply)

- ☒ PHA main administrative office
☐ PHA development management offices
☐ Other (list below)

B. Section 8 Tenant-Based Assistance

1. ☐ Yes ☒ No: Has the PHA established informal review procedures for applicants to the Section 8 tenant-based assistance program and informal hearing procedures for families assisted by the Section 8 tenant-based assistance program in addition to federal requirements found at 24 CFR 982?

If yes, list additions to federal requirements below:

2. Which PHA office should applicants or assisted families contact to initiate the informal review and informal hearing processes? (select all that apply)

- ☒ PHA main administrative office
☐ Other (list below)

7. Capital Improvement Needs

[24 CFR Part 903.7 9 (g)]

Exemptions from Component 7: Section 8 only PHAs are not required to complete this component and may skip to Component 8.

A. Capital Fund Activities

Exemptions from sub-component 7A: PHAs that will not participate in the Capital Fund Program may skip to component 7B. All other PHAs must complete 7A as instructed.

(1) Capital Fund Program Annual Statement

Using parts I, II, and III of the Annual Statement for the Capital Fund Program (CFP), identify capital activities the PHA is proposing for the upcoming year to ensure long-term physical and social viability of its public housing developments. This statement can be completed by using the CFP Annual Statement tables provided in the table library at the end of the PHA Plan template **OR**, at the PHA's option, by completing and attaching a properly updated HUD-52837.

Select one:

☒ The Capital Fund Program Annual Statement is provided as an attachment to the PHA Plan at **Attachment A**

-or-

☐ The Capital Fund Program Annual Statement is provided below: (if selected, copy the CFP Annual Statement from the Table Library and insert here)

(2) Optional 5-Year Action Plan

Agencies are encouraged to include a 5-Year Action Plan covering capital work items. This statement can be completed by using the 5 Year Action Plan table provided in the table library at the end of the PHA Plan template **OR** by completing and attaching a properly updated HUD-52834.

a. ☒ Yes ☐ No: Is the PHA providing an optional 5-Year Action Plan for the Capital Fund? (if no, skip to sub-component 7B)

b. If yes to question a, select one:

☒ The Capital Fund Program 5-Year Action Plan is provided as an attachment to the PHA Plan at Attachment H.

-or-

☐ The Capital Fund Program 5-Year Action Plan is provided below: (if selected, copy the CFP optional 5 Year Action Plan from the Table Library and insert here)

B. HOPE VI and Public Housing Development and Replacement Activities (Non-Capital Fund)

Applicability of sub-component 7B: All PHAs administering public housing. Identify any approved HOPE VI and/or public housing development or replacement activities not described in the Capital Fund Program Annual Statement.

- ☐ Yes ☒ No: a) Has the PHA received a HOPE VI revitalization grant? (if no, skip to question c; if yes, provide responses to question b for each grant, copying and completing as many times as necessary)
- b) Status of HOPE VI revitalization grant (complete one set of questions for each grant)

1. Development name:
2. Development (project) number:
3. Status of grant: (select the statement that best describes the current status)

- ☐ Revitalization Plan under development
- ☐ Revitalization Plan submitted, pending approval
- ☐ Revitalization Plan approved
- ☐ Activities pursuant to an approved Revitalization Plan underway

- ☐ Yes ☒ No: c) Does the PHA plan to apply for a HOPE VI Revitalization grant in the Plan year?
- If yes, list development name/s below:

- ☐ Yes ☒ No: d) Will the PHA be engaging in any mixed-finance development activities for public housing in the Plan year?
- If yes, list developments or activities below:

- ☐ Yes ☒ No: e) Will the PHA be conducting any other public housing development or replacement activities not discussed in the Capital Fund Program Annual Statement?
- If yes, list developments or activities below:

8. Demolition and Disposition

[24 CFR Part 903.7 9 (h)]

Applicability of component 8: Section 8 only PHAs are not required to complete this section.

The Sandusky MHA is studying the possibility of disposing the public housing. No final decision has been made concerning any action that might be taken within the upcoming fiscal year. The discussion to date has not been specific enough to complete the questions or chart below with any accuracy. In lieu of that, Question 1 below was answered "no".

1. ☐ Yes ☒ No: Does the PHA plan to conduct any demolition or disposition activities (pursuant to section 18 of the U.S. Housing Act of 1937 (42 U.S.C. 1437p)) in the plan Fiscal Year? (If “No”, skip to component 9; if “yes”, complete one activity description for each development.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided the activities description information in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 9. If “No”, complete the Activity Description table below.)

| Demolition/Disposition Activity Description |
|---|
| 1a. Development name: |
| 1b. Development (project) number: |
| 2. Activity type: Demolition <input type="checkbox"/> Disposition <input type="checkbox"/> |
| 3. Application status (select one) Approved <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/> |
| 4. Date application approved, submitted, or planned for submission: (DD/MM/YY) |
| 5. Number of units affected: |
| 6. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development |
| 7. Timeline for activity: a. Actual or projected start date of activity: b. Projected end date of activity: |

9. Designation of Public Housing for Occupancy by Elderly Families or Families with Disabilities or Elderly Families and Families with Disabilities

[24 CFR Part 903.7 9 (i)]

Exemptions from Component 9; Section 8 only PHAs are not required to complete this section.

1. ☐ Yes ☒ No: Has the PHA designated or applied for approval to designate or does the PHA plan to apply to designate any public housing for occupancy only by the elderly families or only by families with disabilities, or by elderly families and families with disabilities or will apply for designation for occupancy by only elderly

families or only families with disabilities, or by elderly families and families with disabilities as provided by section 7 of the U.S. Housing Act of 1937 (42 U.S.C. 1437e) in the upcoming fiscal year? (If “No”, skip to component 10. If “yes”, complete one activity description for each development, unless the PHA is eligible to complete a streamlined submission; PHAs completing streamlined submissions may skip to component 10.)

2. Activity Description

☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If “yes”, skip to component 10. If “No”, complete the Activity Description table below.

| Designation of Public Housing Activity Description |
|--|
| 1a. Development name: |
| 1b. Development (project) number: |
| 2. Designation type: Occupancy by only the elderly <input type="checkbox"/> Occupancy by families with disabilities <input type="checkbox"/> Occupancy by only elderly families and families with disabilities <input type="checkbox"/> |
| 3. Application status (select one) Approved; included in the PHA’s Designation Plan <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application <input type="checkbox"/> |
| 4. Date this designation approved, submitted, or planned for submission: (DD/MM/YY) |
| 5. If approved, will this designation constitute a (select one) <input type="checkbox"/> New Designation Plan <input type="checkbox"/> Revision of a previously-approved Designation Plan? |
| 6. Number of units affected: |
| 7. Coverage of action (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development |

10. Conversion of Public Housing to Tenant-Based Assistance

[24 CFR Part 903.7 9 (j)]

Exemptions from Component 10; Section 8 only PHAs are not required to complete this section.

A. Assessments of Reasonable Revitalization Pursuant to section 202 of the HUD FY 1996 HUD Appropriations Act

1. ☐ Yes ☒ No: Have any of the PHA's developments or portions of developments been identified by HUD or the PHA as covered under section 202 of the HUD FY 1996 HUD Appropriations Act? (If "No", skip to component 11; if "yes", complete one activity description for each identified development, unless eligible to complete a streamlined submission. PHAs completing streamlined submissions may skip to component 11.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? If "yes", skip to component 11. If "No", complete the Activity Description table below.

| Conversion of Public Housing Activity Description |
|---|
| 1a. Development name: 1b. Development (project) number: |
| 2. What is the status of the required assessment? <input type="checkbox"/> Assessment underway <input type="checkbox"/> Assessment results submitted to HUD <input type="checkbox"/> Assessment results approved by HUD (if marked, proceed to next question) <input type="checkbox"/> Other (explain below) |
| 3. <input type="checkbox"/> Yes <input type="checkbox"/> No: Is a Conversion Plan required? (If yes, go to block 4; if no, go to block 5.) |
| 4. Status of Conversion Plan (select the statement that best describes the current status) <input type="checkbox"/> Conversion Plan in development <input type="checkbox"/> Conversion Plan submitted to HUD on: (DD/MM/YYYY) <input type="checkbox"/> Conversion Plan approved by HUD on: (DD/MM/YYYY) <input type="checkbox"/> Activities pursuant to HUD-approved Conversion Plan underway |
| 5. Description of how requirements of Section 202 are being satisfied by means other than conversion (select one) <input type="checkbox"/> Units addressed in a pending or approved demolition application (date submitted or approved: <input type="checkbox"/> Units addressed in a pending or approved HOPE VI demolition application (date submitted or approved:) <input type="checkbox"/> Units addressed in a pending or approved HOPE VI Revitalization Plan (date submitted or approved:) <input type="checkbox"/> Requirements no longer applicable: vacancy rates are less than 10 percent <input type="checkbox"/> Requirements no longer applicable: site now has less than 300 units <input type="checkbox"/> Other: (describe below) |

B. Reserved for Conversions pursuant to Section 22 of the U.S. Housing Act of 1937

C. Reserved for Conversions pursuant to Section 33 of the U.S. Housing Act of 1937

11. Homeownership Programs Administered by the PHA

[24 CFR Part 903.7 9 (k)]

A. Public Housing

Exemptions from Component 11A: Section 8 only PHAs are not required to complete 11A.

1. ☐ Yes ☒ No: Does the PHA administer any homeownership programs administered by the PHA under an approved section 5(h) homeownership program (42 U.S.C. 1437c(h)), or an approved HOPE I program (42 U.S.C. 1437aaa) or has the PHA applied or plan to apply to administer any homeownership programs under section 5(h), the HOPE I program, or section 32 of the U.S. Housing Act of 1937 (42 U.S.C. 1437z-4). (If “No”, skip to component 11B; if “yes”, complete one activity description for each applicable program/plan, unless eligible to complete a streamlined submission due to **small PHA** or **high performing PHA** status. PHAs completing streamlined submissions may skip to component 11B.)

2. Activity Description

- ☐ Yes ☐ No: Has the PHA provided all required activity description information for this component in the **optional** Public Housing Asset Management Table? (If “yes”, skip to component 12. If “No”, complete the Activity Description table below.)

| Public Housing Homeownership Activity Description (Complete one for each development affected) |
|---|
| 1a. Development name: |
| 1b. Development (project) number: |
| 2. Federal Program authority: |
| <input type="checkbox"/> HOPE I |
| <input type="checkbox"/> 5(h) |
| <input type="checkbox"/> Turnkey III |
| <input type="checkbox"/> Section 32 of the USHA of 1937 (effective 10/1/99) |

| |
|--|
| 3. Application status: (select one) <input type="checkbox"/> Approved; included in the PHA's Homeownership Plan/Program <input type="checkbox"/> Submitted, pending approval <input type="checkbox"/> Planned application |
| 4. Date Homeownership Plan/Program approved, submitted, or planned for submission: (DD/MM/YYYY) |
| 5. Number of units affected: 6. Coverage of action: (select one) <input type="checkbox"/> Part of the development <input type="checkbox"/> Total development |

B. Section 8 Tenant Based Assistance

1. ☐ Yes ☒ No: Does the PHA plan to administer a Section 8 Homeownership program pursuant to Section 8(y) of the U.S.H.A. of 1937, as implemented by 24 CFR part 982 ? (If "No", skip to component 12; if "yes", describe each program using the table below (copy and complete questions for each program identified), unless the PHA is eligible to complete a streamlined submission due to high performer status. **High performing PHAs** may skip to component 12.)

2. Program Description:

a. Size of Program

- ☐ Yes ☐ No: Will the PHA limit the number of families participating in the section 8 homeownership option?

If the answer to the question above was yes, which statement best describes the number of participants? (select one)

- ☐ 25 or fewer participants
☐ 26 - 50 participants
☐ 51 to 100 participants
☐ more than 100 participants

b. PHA-established eligibility criteria

- ☐ Yes ☐ No: Will the PHA's program have eligibility criteria for participation in its Section 8 Homeownership Option program in addition to HUD criteria?

If yes, list criteria below:

12. PHA Community Service and Self-sufficiency Programs

[24 CFR Part 903.7 9 (l)]

Exemptions from Component 12: High performing and small PHAs are not required to complete this component. Section 8-Only PHAs are not required to complete sub-component C.

A. PHA Coordination with the Welfare (TANF) Agency

1. Cooperative agreements:

- ☐ Yes ☒ No: Has the PHA entered into a cooperative agreement with the TANF Agency, to share information and/or target supportive services (as contemplated by section 12(d)(7) of the Housing Act of 1937)?

If yes, what was the date that agreement was signed? DD/MM/YY

2. Other coordination efforts between the PHA and TANF agency (select all that apply)

- ☒ Client referrals
- ☒ Information sharing regarding mutual clients (for rent determinations and otherwise)
- ☐ Coordinate the provision of specific social and self-sufficiency services and programs to eligible families
- ☐ Jointly administer programs
- ☐ Partner to administer a HUD Welfare-to-Work voucher program
- ☐ Joint administration of other demonstration program
- ☐ Other (describe)

B. Services and programs offered to residents and participants

(1) General

a. Self-Sufficiency Policies

Which, if any of the following discretionary policies will the PHA employ to enhance the economic and social self-sufficiency of assisted families in the following areas? (select all that apply)

- ☐ Public housing rent determination policies
- ☐ Public housing admissions policies
- ☐ Section 8 admissions policies
- ☐ Preference in admission to section 8 for certain public housing families
- ☐ Preferences for families working or engaging in training or education programs for non-housing programs operated or coordinated by the PHA
- ☐ Preference/eligibility for public housing homeownership option participation
- ☐ Preference/eligibility for section 8 homeownership option participation
- ☐ Other policies (list below)

b. Economic and Social self-sufficiency programs

- ☐ Yes ☒ No: Does the PHA coordinate, promote or provide any programs to enhance the economic and social self-sufficiency of residents? (If “yes”, complete the following table; if “no” skip to sub-component 2, Family Self Sufficiency Programs. The position of the table may be altered to facilitate its use.)

| Services and Programs | | | | |
|--|-------------------|--|--|--|
| Program Name & Description (including location, if appropriate) | Estimated Size | Allocation Method (waiting list/random selection/specific criteria/other) | Access (development office / PHA main office / other provider name) | Eligibility (public housing or section 8 participants or both) |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

(2) Family Self Sufficiency program/s

a. Participation Description

| Family Self Sufficiency (FSS) Participation | | |
|---|--|--|
| Program | Required Number of Participants (start of FY 2005 Estimate) | Actual Number of Participants (As of: DD/MM/YY) |
| Public Housing | | |
| Section 8 | | |

- b. ☐ Yes ☐ No: If the PHA is not maintaining the minimum program size required by HUD, does the most recent FSS Action Plan address the steps the PHA plans to take to achieve at least the minimum program size?

If no, list steps the PHA will take below:

C. Welfare Benefit Reductions

1. The PHA is complying with the statutory requirements of section 12(d) of the U.S. Housing Act of 1937 (relating to the treatment of income changes resulting from welfare program requirements) by: (select all that apply)

- ☒ Adopting appropriate changes to the PHA's public housing rent determination policies and train staff to carry out those policies
- ☐ Informing residents of new policy on admission and reexamination
- ☐ Actively notifying residents of new policy at times in addition to admission and reexamination.
- ☐ Establishing or pursuing a cooperative agreement with all appropriate TANF agencies regarding the exchange of information and coordination of services
- ☐ Establishing a protocol for exchange of information with all appropriate TANF agencies
- ☐ Other: (list below)

| |
|--|
| D. Reserved for Community Service Requirement pursuant to section 12(c) of the U.S. Housing Act of 1937 |
|--|

13. PHA Safety and Crime Prevention Measures

[24 CFR Part 903.7 9 (m)]

Exemptions from Component 13: High performing and small PHAs not participating in PHDEP and Section 8 Only PHAs may skip to component 15. High Performing and small PHAs that are participating in PHDEP and are submitting a PHDEP Plan with this PHA Plan may skip to sub-component D.

A. Need for measures to ensure the safety of public housing residents

1. Describe the need for measures to ensure the safety of public housing residents (select all that apply)

- ☐ High incidence of violent and/or drug-related crime in some or all of the PHA's developments
- ☐ High incidence of violent and/or drug-related crime in the areas surrounding or adjacent to the PHA's developments
- ☐ Residents fearful for their safety and/or the safety of their children
- ☒ Observed lower-level crime, vandalism and/or graffiti
- ☐ People on waiting list unwilling to move into one or more developments due to perceived and/or actual levels of violent and/or drug-related crime
- ☐ Other (describe below)

2. What information or data did the PHA used to determine the need for PHA actions to improve safety of residents (select all that apply).

- ☐ Safety and security survey of residents
- ☐ Analysis of crime statistics over time for crimes committed “in and around” public housing authority
- ☐ Analysis of cost trends over time for repair of vandalism and removal of graffiti
- ☒ Resident reports
- ☒ PHA employee reports
- ☒ Police reports
- ☐ Demonstrable, quantifiable success with previous or ongoing anticrime/anti drug programs
- ☐ Other (describe below)

3. Which developments are most affected? (list below)

SMHA has only one development.

B. Crime and Drug Prevention activities the PHA has undertaken or plans to undertake in the next PHA fiscal year

1. List the crime prevention activities the PHA has undertaken or plans to undertake: (select all that apply)

- ☐ Contracting with outside and/or resident organizations for the provision of crime- and/or drug-prevention activities
- ☐ Crime Prevention Through Environmental Design
- ☐ Activities targeted to at-risk youth, adults, or seniors
- ☐ Volunteer Resident Patrol/Block Watchers Program
- ☒ Other (describe below)
Hire off duty law enforcement officers to patrol premises at random times as funds permit.

2. Which developments are most affected? (list below)

SMHA has only one development.

C. Coordination between PHA and the police

1. Describe the coordination between the PHA and the appropriate police precincts for carrying out crime prevention measures and activities: (select all that apply)

- ☐ Police involvement in development, implementation, and/or ongoing evaluation of drug-elimination plan
- ☐ Police provide crime data to housing authority staff for analysis and action

- ☒ Police have established a physical presence on housing authority property (e.g., community policing office, officer in residence)
 - ☒ Police regularly testify in and otherwise support eviction cases
 - ☐ Police regularly meet with the PHA management and residents
 - ☐ Agreement between PHA and local law enforcement agency for provision of above-baseline law enforcement services
 - ☐ Other activities (list below)
2. Which developments are most affected? (list below)
SMHA has only one development.

D. Additional information as required by PHDEP/PHDEP Plan

PHAs eligible for FY 2005 PHDEP funds must provide a PHDEP Plan meeting specified requirements prior to receipt of PHDEP funds.

- ☐ Yes ☐ No: Is the PHA eligible to participate in the PHDEP in the fiscal year covered by this PHA Plan?
- ☐ Yes ☐ No: Has the PHA included the PHDEP Plan for FY 2005 in this PHA Plan?
- ☐ Yes ☐ No: This PHDEP Plan is an Attachment. (Attachment Filename: ____)

14. RESERVED FOR PET POLICY

[24 CFR Part 903.7 9 (n)]

15. Civil Rights Certifications

[24 CFR Part 903.7 9 (o)]

Civil rights certifications are included in the PHA Plan Certifications of Compliance with the PHA Plans and Related Regulations.

16. Fiscal Audit

[24 CFR Part 903.7 9 (p)]

1. ☒ Yes ☐ No: Is the PHA required to have an audit conducted under section 5(h)(2) of the U.S. Housing Act of 1937 (42 U.S.C. 1437c(h))?
(If no, skip to component 17.)
2. ☒ Yes ☐ No: Was the most recent fiscal audit submitted to HUD?
3. ☒ Yes ☐ No: Were there any findings as the result of that audit?
4. ☐ Yes ☒ No: If there were any findings, do any remain unresolved?
If yes, how many unresolved findings remain? ____
5. ☒ Yes ☐ No: Have responses to any unresolved findings been submitted to HUD? Submitted in mid-March, 2008
If not, when are they due (state below)?

17. PHA Asset Management

[24 CFR Part 903.7 9 (q)]

Exemptions from component 17: Section 8 Only PHAs are not required to complete this component. High performing and small PHAs are not required to complete this component.

1. ☐ Yes ☒ No: Is the PHA engaging in any activities that will contribute to the long-term asset management of its public housing stock , including how the Agency will plan for long-term operating, capital investment, rehabilitation, modernization, disposition, and other needs that have **not** been addressed elsewhere in this PHA Plan?
2. What types of asset management activities will the PHA undertake? (select all that apply)
 - ☒ Not applicable – only 48 PH units
 - ☐ Private management
 - ☐ Development-based accounting
 - ☐ Comprehensive stock assessment
 - ☐ Other: (list below)
3. ☐ Yes ☒ No: Has the PHA included descriptions of asset management activities in the **optional** Public Housing Asset Management Table?

18. Other Information

[24 CFR Part 903.7 9 (r)]

A. Resident Advisory Board Recommendations

1. ☒ Yes ☐ No: Did the PHA receive any comments on the PHA Plan from the Resident Advisory Board/s?
2. If yes, the comments are: (if comments were received, the PHA **MUST** select one)
 - ☒ Attached at Attachment C.
 - ☐ Provided below:
3. In what manner did the PHA address those comments? (select all that apply)
 - ☒ Considered comments, but determined that no changes to the PHA Plan were necessary.
 - ☐ The PHA changed portions of the PHA Plan in response to comments
List changes below:

☐ Other: (list below)

B. Description of Election process for Residents on the PHA Board

1. ☐ Yes ☒ No: Does the PHA meet the exemption criteria provided section 2(b)(2) of the U.S. Housing Act of 1937? (If no, continue to question 2; if yes, skip to sub-component C.)
2. ☐ Yes ☒ No: Was the resident who serves on the PHA Board elected by the residents? (If yes, continue to question 3; if no, skip to sub-component C.)

3. Description of Resident Election Process

a. Nomination of candidates for place on the ballot: (select all that apply)

- ☐ Candidates were nominated by resident and assisted family organizations
- ☐ Candidates could be nominated by any adult recipient of PHA assistance
- ☐ Self-nomination: Candidates registered with the PHA and requested a place on ballot
- ☐ Other: (describe)

b. Eligible candidates: (select one)

- ☐ Any recipient of PHA assistance
- ☐ Any head of household receiving PHA assistance
- ☐ Any adult recipient of PHA assistance
- ☐ Any adult member of a resident or assisted family organization
- ☐ Other (list)

c. Eligible voters: (select all that apply)

- ☐ All adult recipients of PHA assistance (public housing and section 8 tenant-based assistance)
- ☐ Representatives of all PHA resident and assisted family organizations
- ☐ Other (list)

C. Statement of Consistency with the Consolidated Plan

For each applicable Consolidated Plan, make the following statement (copy questions as many times as necessary).

1. Consolidated Plan jurisdiction:

Comprehensive Housing Improvement Strategy (CHIS) for Sandusky County, Ohio (2004); and CHIS for City of Fremont, Ohio (2004)

2. The PHA has taken the following steps to ensure consistency of this PHA Plan with the Consolidated Plan for the jurisdiction: (select all that apply)

- ☒ The PHA has based its statement of needs of families in the jurisdiction on the needs expressed in the Consolidated Plan/s.
- ☒ The PHA has participated in any consultation process organized and offered by the Consolidated Plan agency in the development of the Consolidated Plan.
- ☒ The PHA has consulted with the Consolidated Plan agency during the development of this PHA Plan.
- ☒ Activities to be undertaken by the PHA in the coming year are consistent with the initiatives contained in the Consolidated Plan. (list below)
The Sandusky MHA will continue to provide rental assistance to very-low and low-income families throughout Sandusky County (including the City of Fremont) through the Housing Choice Voucher and Public Housing Programs.
- ☐ Other: (list below)

4. The Consolidated Plan of the jurisdiction supports the PHA Plan with the following actions and commitments: (describe below)

Affordability is very critical issue for renter households with less than 80% of AMI and for elderly and disabled. Supply of housing is also critical for the same categories of persons, except for households with incomes between 50%-80% of AMI; the supply (need) for that category is moderate. The quality of housing currently available to these same categories of persons ranges from satisfactory and better. Much of this housing is rent subsidized and subject to meeting certain inspection criteria. Accessibility is a critical issue for elderly and disabled persons. All of these issues are addressed in the CHIS documents for both Sandusky County and the City of Fremont, Ohio (both dated 2004).

D. Other Information Required by HUD

Use this section to provide any additional information requested by HUD.

Definitions adopted by Sandusky MHA Board of Commissioners in April 2001:

Substantial Deviation from the 5-Year Plan: Discretionary changes in PHA Plans or policies that fundamentally change the mission, goals, objectives, or plans of the PHA and which require formal approval of the PHA Members.

Significant Amendment or Modification to the Annual Plan: Discretionary changes in PHA Plans or policies that fundamentally change the mission, goals, objectives, or plans of the PHA and which require formal approval of the PHA Members.

VAWA:

VAWA policies were adopted in April 2007 and included in the Annual Plan submitted for the fiscal year beginning July 1, 2007.

Attachments

Use this section to provide any additional attachments referenced in the Plans.

(Note to Cleveland FO: The attachments immediately follow this page and are NOT being sent separately.)

- A.** Capital Fund Program Annual Statements
- B.** Most recent board-approved operating budget (Required Attachment for PHAs that are troubled or at risk of being designated troubled ONLY)
- C.*** List of Resident Advisory Board Members
- D.** Resident Board Member Information
- E.** Community Service Description of Implementation
- F.** Information on Pet Policy
- G.** PHA Management Organizational Chart
- H.** FY 2007 Capital Fund Program 5 Year Action Plan
- C.*** Comments of Resident Advisory Board or Boards (Included with list of RAB members above)
- I.** Revisions to Public Housing ACOP
- J.** Required VAWA Information

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

PHA Plan
Table Library

Component 7
Capital Fund Program Annual Statement
Parts I, II, and II

Annual Statement
Capital Fund Program (CFP) Part I: Summary

Capital Fund Grant Number OH12-POI54-501-08
FFY of Grant Approval: (09/2008)

☒ Original Annual Statement

| Line No. | Summary by Development Account | Total Estimated Cost |
|----------|---|----------------------|
| 1 | Total Non-CGP Funds | |
| 2 | 1406 Operations | 8,000 |
| 3 | 1408 Management Improvements | 5,000 |
| 4 | 1410 Administration | 6,000 |
| 5 | 1411 Audit | 2,000 |
| 6 | 1415 Liquidated Damages | |
| 7 | 1430 Fees and Costs | 10,000 |
| 8 | 1440 Site Acquisition | |
| 9 | 1450 Site Improvement | |
| 10 | 1460 Dwelling Structures | 21,200 |
| 11 | 1465.1 Dwelling Equipment-Nonexpendable | 18,000 |
| 12 | 1470 Nondwelling Structures | 9,000 |
| 13 | 1475 Nondwelling Equipment | 15,000 |
| 14 | 1485 Demolition | |
| 15 | 1490 Replacement Reserve | |
| 16 | 1492 Moving to Work Demonstration | |
| 17 | 1495.1 Relocation Costs | |
| 18 | 1498 Mod Used for Development | |
| 19 | 1502 Contingency | |
| 20 | Amount of Annual Grant (Sum of lines 2-19) | 94,200 |
| 21 | Amount of line 20 Related to LBP Activities | |
| 22 | Amount of line 20 Related to Section 504 Compliance | |
| 23 | Amount of line 20 Related to Security | |
| 24 | Amount of line 20 Related to Energy Conservation Measures | 9,000 |

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

Annual Statement

Capital Fund Program (CFP) Part II: Supporting Table

| Development Number/Name HA-Wide Activities | General Description of Major Work Categories | Development Account Number | Total Estimated Cost |
|--|---|----------------------------------|----------------------------|
| 054-01 Day Woods | Flooring in kitchens, baths | 1460 | 14,000 |
| | New shed doors | 1460 | 7,200 |
| | Replace refrigerators | 1465.1 | 18,000 |
| PHA-wide | Operating subsidy | 1406 | 8,000 |
| | Administration | 1410 | 6,000 |
| | Audit | 1411 | 2,000 |
| | Professional Services | 1430 | 10,000 |
| | Replace copy machine | 1475 | 10,000 |
| | Replace office windows | 1470 | 9,000 |
| | Replace office furniture | 1475 | 5,000 |
| | Management Improvements | 1408 | 5,000 |
| Total CFP Estimated Cost | | | \$ 94,200 |

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

Annual Statement

Capital Fund Program (CFP) Part III: Implementation Schedule

| Development Number/Name HA-Wide Activities | All Funds Obligated (Quarter Ending Date) | All Funds Expended (Quarter Ending Date) |
|--|--|---|
| OH-054 Day Woods | 09/30/2010 | 09/30/2012 |
| PHA-wide | 09/30/2010 | 09/30/2012 |

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

| PHA Name: Sandusky Metropolitan Housing Authority | | Grant Type and Number Capital Fund Program Grant No: OH12-PO54-501-03 Replacement Housing Factor Grant No: | | Federal FY of Grant: 2003 | |
|--|---|---|-----------|--|-----------|
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) | | | | | |
| <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2007 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | 7,500.00 | 7,500.00 | 7,500.00 | 7,500.00 |
| 3 | 1408 Management Improvements | 6,000.00 | 10,218.18 | 10,218.18 | 10,218.18 |
| 4 | 1410 Administration | 7,200.00 | 7,200.00 | 7,200.00 | 7,200.00 |
| 5 | 1411 Audit | 1,000.00 | 1,000.00 | 1,000.00 | 1,000.00 |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 10,000.00 | 10,000.00 | 10,000.00 | 10,000.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | 20,519.50 | 20,519.50 | 20,519.50 |
| 10 | 1460 Dwelling Structures | 34,000.00 | 7,992.17 | 7,992.17 | 7,992.17 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | 1,933.00 | 1,993.00 | 1,993.00 |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | 6,812.00 | 6,149.15 | 6,149.15 | 6,149.15 |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |
| 21 | Amount of Annual Grant: (sum of lines 2 – 20) | 72,512.00 | 72,512.00 | 72,512.00 | 72,512.00 |
| 22 | Amount of line 21 Related to LBP Activities | N/A | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | N/A | | | |
| 24 | Amount of line 21 Related to Security – Soft Costs | N/A | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | N/A | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | N/A | | | |

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Sandusky Metropolitan Housing Authority | | Grant Type and Number Capital Fund Program Grant No: OH12-PO54-501-03 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2003 | | |
|--|---|--|------------------|----------------------|-----------|---------------------------|-------------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| 54-01 | Replace closet doors | 1460 | 4 | 34,000.00 | 356.00 | 356.00 | 356.00 | |
| Day Woods | Replace windows | 1460 | 7 | | 1,404.00 | 1,404.00 | 1,404.00 | |
| | Replace interior doors | 1460 | 55 | | 5,548.17 | 5,548.17 | 5,548.17 | |
| | Replace storm doors | 1460 | 6 | | 684.00 | 684.00 | 684.00 | |
| | Replace ranges | 1465 1 | 4 | | 952.00 | 952.00 | 952.00 | |
| | Replace refrigerators | 1465 1 | 3 | | 981.00 | 981.00 | 981.00 | |
| | Landscaping | 1450 | Project- wide | | 12,374.50 | 12,374.50 | 12,374.50 | |
| | Sealing, striping paving and parking | 1450 | Project- wide | | 8,145.00 | 8,145.00 | 8,145.00 | |
| | | | | | | | | |
| PHA-wide | Administrative costs | 1410 | | 7,200.00 | 7,200.00 | 7,200.00 | 7,200.00 | |
| | Operating Subsidy | 1406 | | 7,500.00 | 7,500.00 | 7,500.00 | 7,500.00 | |
| | Audit Cost – CFP | 1411 | | 1,000.00 | 1,000.00 | 1,000.00 | 1,000.00 | |
| | Consulting Services | 1430 | | 10,000.00 | 10,000.00 | 10,000.00 | 10,000.00 | |
| | Management Improvements | 1408 | | 6,000.00 | 10,218.18 | 10,218.18 | 10,218.18 | |
| | Computer Equipment | 1475 | | 6,812.00 | 6,149.15 | 6,149.15 | 6,149.15 | |
| | | | | | | | | |
| | TOTAL | | | 72,512.00 | 72,512.00 | 72,512.00 | 72,512.00 | |
| | | | | | | | | |

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule | | | | | | | |
|---|---|---------|---|---|---------|-----------|----------------------------------|
| PHA Name: Sandusky Metropolitan Housing Authority | | | Grant Type and Number Capital Fund Program No: OH12-PO54-501-03 Replacement Housing Factor No: | | | | Federal FY of Grant: 2003 |
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| 54-01 Day Woods | 9/17/05 | | 9/13/05 | 9/16/07 | | 9/07/2006 | |
| PHA-wide | 9/17/05 | | 9/13/05 | 9/16/07 | | 9/07/2006 | |
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ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

| Annual Statement/Performance and Evaluation Report | | | | | |
|---|---|--|-----------|-------------------|--|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | |
| PHA Name: Sandusky Metropolitan Housing Authority | | Grant Type and Number Capital Fund Program Grant No: OH12-PO54-501-04 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 2004 |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2007 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | 8,400.00 | 8,400.00 | 8,400.00 | 8,400.00 |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration | 6,453.00 | 6,453.00 | 6,453.00 | 6,453.00 |
| 5 | 1411 Audit | | | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 10,000.00 | 00.00 | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | | | | |
| 10 | 1460 Dwelling Structures | 60,000.00 | 62,288.00 | 62,288.00 | 16,246.81 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | 545.00 | 545.00 | 2,081.00 |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | | 7167.00 | 7,167.00 | 7,167.00 |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |
| 21 | Amount of Annual Grant: (sum of lines 2 – 20) | | | | |
| 22 | Amount of line 21 Related to LBP Activities | 84,853.00 | 84,853.00 | 84,853.00 | 40,347.81 |
| 23 | Amount of line 21 Related to Section 504 compliance | | | | |
| 24 | Amount of line 21 Related to Security – Soft Costs | | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | 60,000.00 | | | |

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Sandusky Metropolitan Housing Authority | | Grant Type and Number Capital Fund Program Grant No: OH12-PO54-501-04 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2004 | | |
|---|---|--|----------|----------------------|------------------|---------------------------|-------------------|-------------------|
| Develop. No. Name/HA- Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| 54-01 | Replace windows, 22 units, 6 ea. | 14 60 | 98 | 48,000.00 | 25,227.00 | 25,227.00 | 530.44 | |
| | Replace entrance doors, 16 units, 2 ea. | 14 60 | 32 | 12,000.00 | 4,304.00 | 4,304.00 | 1,696.15 | |
| Day Woods | Replace storm doors, 17 units, 2 ea. | 14 60 | 34 | | 3,696.00 | 3,696.00 | 2,709.30 | |
| | Repl. storage shed doors, 16 units, 1 ea. | 14 60 | 16 | | 1,504.00 | 1,504.00 | 305.92 | |
| | Replace closet doors, 48 units | 14 60 | | | 25,224.00 | 25,224.00 | 10,208.00 | |
| | Replace interior doors | 14 60 | 8 | | 337.68 | 337.68 | 337.68 | |
| | Replace carpet | 14 60 | | | 1995.32 | 1995.32 | 1,995.32 | |
| | Purchase portable room dividers | 14 75 | 5 | | 559.20 | 559.20 | 559.20 | |
| | Upgrade copier – purchase small units | 14 75 | 2 | | 2,926.75 | 2,926.75 | 2,926.75 | |
| | Upgrade computer | 14 75 | 1 | | 791.00 | 791.00 | 791.00 | |
| | Flat file to store blueprints | 14 75 | 1 | | 2,039.80 | 2,039.80 | 2,039.80 | |
| | 4-drawer legal file cabinet | 14 75 | 2 | | 399.98 | 399.98 | 399.98 | |
| | 2-drawer fireproof file cabinet | 14 75 | 1 | | 450.27 | 450.27 | 450.27 | |
| | Replace refrigerator | 14 65 | 1 | | 275.00 | 275.00 | 275.00 | |
| | Replace range | 14 65 | 1 | | 270.00 | 270.00 | 270.00 | |
| | | | | | | | | |
| | Operating Subsidy | 14 06 | | 8,400.00 | 8,400.00 | 8,400.00 | 8,400.00 | |
| | Professional Services | 14 30 | | 10,000.00 | -0- | -0- | -0- | |
| | Administration | 14 10 | | 6,453.00 | 6,453.00 | 6,453.00 | 6,453.00 | |
| | | | | | | | | |
| | TOTAL | | | 84,853.00 | 84,853.00 | 84,853.00 | 40,347.81 | |

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

[illegible]

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | |
|---|---|--|-----------|-------------------|--|
| PHA Name: Sandusky Metropolitan Housing Authority | | Grant Type and Number Capital Fund Program Grant No: OH12-PO54-501-05 Replacement Housing Factor Grant No: | | | Federal FY of Grant: 2005 |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2007 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | 7,300.00 | 7,300.00 | 7,300.00 | 7,300.00 |
| 3 | 1408 Management Improvements | 4,000.00 | 7,300.00 | 3,622.50 | 3,622.50 |
| 4 | 1410 Administration | 7,300.00 | 7,300.00 | 7,300.00 | 7,300.00 |
| 5 | 1411 Audit | 1,000.00 | 1,000.00 | 1,000.00 | 1,000.00 |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 10,000.00 | 10,000.00 | 300.00 | 300.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 5,045.00 | 12,805.00 | 12,805.00 | 12,805.00 |
| 10 | 1460 Dwelling Structures | 39,000.00 | 19,341.00 | 527.00 | .00 |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | 1,274.00 | 1,195.00 | 1,195.00 |
| 12 | 1470 Nondwelling Structures | | 1,600.00 | 1,600.00 | 1,600.00 |
| 13 | 1475 Nondwelling Equipment | | 5,725.00 | 5,724.17 | 644.17 |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |
| 21 | Amount of Annual Grant: (sum of lines 2 – 20) | 73,645.00 | 73,645.00 | 41,373.87 | 35,766.87 |
| 22 | Amount of line 21 Related to LBP Activities | N/A | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | N/A | | | |
| 24 | Amount of line 21 Related to Security – Soft Costs | N/A | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | N/A | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | 39,000.00 | 19,341 | 527.00 | .00 |

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Sandusky Metropolitan Housing Authority | | Grant Type and Number Capital Fund Program Grant No: OH12-PO54-501-05 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2005 | | |
|---|---|---|----------|----------------------|------------------|----------------------------------|------------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| 054-01 | Replace windows, | 1460 | 60 | 30,000.00 | 10,341.00 | 462.00 | 0 | |
| Day Woods | 10 units/6 windows ea. | | | | | | | |
| PHA-wide | Replace entrance doors, locksets, 10 units, 2 ea. | 1460 | 20 | 9,000.00 | 9,000.00 | 65.00 | 0 | |
| | Operating subsidy | 1406 | | 7,300.00 | 7,300.00 | 7,300.00 | 7,300.00 | |
| | Energy audit | 1408 | | 4,000.00 | 0 | 0 | 0 | |
| | Administration | 1410 | | 7,300.00 | 7,300.00 | 7,300.00 | 7,300.00 | |
| | Audit | 1411 | | 1,000.00 | 1,000.00 | 1,000.00 | 1,000.00 | |
| | Professional Services | 1430 | | 10,000.00 | 10,000.00 | 300.00 | 300.00 | |
| | Fence to protect meter boxes | 1450 | | 4,000.00 | 0 | 0 | 0 | |
| | Landscaping around fencing | 1450 | | 1,045.00 | 0 | 0 | 0 | |
| | Laundry equipment | 1475 | | | 5,080.00 | 5,080.00 | 0 | |
| | New garage door, opener | 1470 | | | 1,600.00 | 1,600.00 | 1,600.00 | |
| | New property sign | 1450 | | | 3,500.00 | 3,500.00 | 3,500.00 | |
| | Add sidewalk, repair concrete | 1450 | | | 9,305.00 | 9,305.00 | 9,305.00 | |
| | Stove, refrigerator replacement | 1465 | 2 each | | 1,274.00 | 1,195.20 | 1,195.20 | |
| | Computer upgrade | 1475 | | | 645.00 | 644.17 | 644.17 | |
| | Management Improvements | 1408 | | | 7,300.00 | 3,622.50 | 3,622.50 | |
| | | | | | | | | |
| | TOTAL | | | 73,645.00 | 73,645.00 | 41,373.87 | 35,766.87 | |

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

| | | | | | | | |
|---|---|---|-------------|---|---------|--------|----------------------------------|
| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule | | | | | | | |
| PHA Name: Sandusky Metropolitan Housing Authority | | Grant Type and Number Capital Fund Program No: OH12-PO54-501-05 Replacement Housing Factor No: | | | | | Federal FY of Grant: 2005 |
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| 054-01 | 8/18/07 | | Not all | 8/18/09 | | | |
| Day Woods | | | funds | | | | |
| | | | obligated | | | | |
| PHA-wide | 8/18/07 | | by deadline | 8/18/09 | | | |
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ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

| Annual Statement/Performance and Evaluation Report | | | | | |
|--|---|----------------------|--|-------------------|--|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | |
| PHA Name: Sandusky Metropolitan Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: OH12-PO54-501-06 Replacement Housing Factor Grant No: | | Federal FY of Grant: 2006 |
| <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input checked="" type="checkbox"/> Revised Annual Statement (revision no: 1) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 12-31-2007 <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised Mar. '07 | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | 6,150.00 | 7,160.00 | 6,950.00 | 6,950.00 |
| 3 | 1408 Management Improvements | | | | |
| 4 | 1410 Administration | 6,150.00 | 7,160.00 | 7,156.00 | 7,156.00 |
| 5 | 1411 Audit | 1,000.00 | 1,000.00 | 1,000.00 | 1,000.00 |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 10,000.00 | 10,000.00 | 1,438.00 | 1,438.00 |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 12,864.00 | 14,499.00 | | |
| 10 | 1460 Dwelling Structures | 31,800.00 | 31,800.00 | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | | | | |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |
| 21 | Amount of Annual Grant: (sum of lines 2 – 20) | 69,564.00 | 71,619.00 | 16,544.00 | 16,544.00 |
| 22 | Amount of line 21 Related to LBP Activities | N/A | N/A | | |
| 23 | Amount of line 21 Related to Section 504 compliance | N/A | N/A | | |
| 24 | Amount of line 21 Related to Security – Soft Costs | N/A | N/A | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | N/A | N/A | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | 31.800.00 | 31.800.00 | | |

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

| Annual Statement/Performance and Evaluation Report | | | | | | | | |
|---|--|--|----------|----------------------|-----------|---------------------------|----------------|----------------|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) | | | | | | | | |
| Part II: Supporting Pages | | | | | | | | |
| PHA Name: Sandusky Metropolitan Housing Authority | | Grant Type and Number Capital Fund Program Grant No: OH12-PO54-501-06 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2006 | | |
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| 054-01 | Replace windows, | 1460 | 36 | 27,000.00 | 27,000.00 | | | |
| Day Woods | 6 units/6 windows ea. | | | | | | | |
| | Replace entrance doors, | 1460 | 20 | 4,800.00 | 4,800.00 | | | |
| | locksets, 8 units, 2 ea. | | | | | | | |
| | Resurface/pave Carmel Court | 1450 | | 12,864.00 | 14,499.00 | | | |
| | | | | | | | | |
| PHA-wide | Operating subsidy | 1406 | | 6,950.00 | 7,160.00 | 6,950.00 | 6,950.00 | |
| | Audit | 1411 | | 1,000.00 | 1,000.00 | 1,000.00 | 1,000.00 | |
| | Administration | 1410 | | 6,950.00 | 7,160.00 | 7,156.00 | 7,156.00 | |
| | Professional Services | 1430 | | 10,000.00 | 10,000.00 | 1,438.00 | 1,438.00 | |
| | | | | | | | | |
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| | | | | | | | | |
| | | | | | | | | |
| | TOTAL | | | 69,564.00 | 71,619.00 | 16,544.00 | 16,544.00 | |
| | | | | | | | | |

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

| Annual Statement/Performance and Evaluation Report Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part III: Implementation Schedule | | | | | | | |
|---|---|---|--------|---|---------|--------|----------------------------------|
| PHA Name: Sandusky Metropolitan Housing Authority | | Grant Type and Number Capital Fund Program No: OH12-PO54-501-06 Replacement Housing Factor No: | | | | | Federal FY of Grant: 2006 |
| Development Number Name/HA-Wide Activities | All Fund Obligated (Quarter Ending Date) | | | All Funds Expended (Quarter Ending Date) | | | Reasons for Revised Target Dates |
| | Original | Revised | Actual | Original | Revised | Actual | |
| 054-01 | 7/18/08 | | | 7/18/2010 | | | |
| Day Woods | | | | | | | |
| PHA-wide | 7/18/08 | | | 7/18/2010 | | | |
| | | | | | | | |
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ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

| Annual Statement/Performance and Evaluation Report | | | | | |
|--|---|----------------------|--|-------------------|--|
| Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF) Part I: Summary | | | | | |
| PHA Name: Sandusky Metropolitan Housing Authority | | | Grant Type and Number Capital Fund Program Grant No: OH12-PO54-501-07 Replacement Housing Factor Grant No: | | Federal FY of Grant: 2007 |
| <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/ Emergencies <input type="checkbox"/> Revised Annual Statement (revision no:) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report | | | | | |
| Line No. | Summary by Development Account | Total Estimated Cost | | Total Actual Cost | |
| | | Original | Revised | Obligated | Expended |
| 1 | Total non-CFP Funds | | | | |
| 2 | 1406 Operations | 7,160.00 | Funds being | | |
| 3 | 1408 Management Improvements | | held pending | | |
| 4 | 1410 Administration | 7,160.00 | obligation of | | |
| 5 | 1411 Audit | 1,000.00 | 2005 funds | | |
| 6 | 1415 Liquidated Damages | | | | |
| 7 | 1430 Fees and Costs | 10,000.00 | | | |
| 8 | 1440 Site Acquisition | | | | |
| 9 | 1450 Site Improvement | 6,500.00 | | | |
| 10 | 1460 Dwelling Structures | 33,680.00 | | | |
| 11 | 1465.1 Dwelling Equipment—Nonexpendable | 2,100.00 | | | |
| 12 | 1470 Nondwelling Structures | | | | |
| 13 | 1475 Nondwelling Equipment | 4,000.00 | | | |
| 14 | 1485 Demolition | | | | |
| 15 | 1490 Replacement Reserve | | | | |
| 16 | 1492 Moving to Work Demonstration | | | | |
| 17 | 1495.1 Relocation Costs | | | | |
| 18 | 1499 Development Activities | | | | |
| 19 | 1501 Collateralization or Debt Service | | | | |
| 20 | 1502 Contingency | | | | |
| 21 | Amount of Annual Grant: (sum of lines 2 – 20) | 71,600.00 | | | |
| 22 | Amount of line 21 Related to LBP Activities | N/A | | | |
| 23 | Amount of line 21 Related to Section 504 compliance | N/A | | | |
| 24 | Amount of line 21 Related to Security – Soft Costs | N/A | | | |
| 25 | Amount of Line 21 Related to Security – Hard Costs | N/A | | | |
| 26 | Amount of line 21 Related to Energy Conservation Measures | N/A | | | |

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part II: Supporting Pages

| PHA Name: Sandusky Metropolitan Housing Authority | | Grant Type and Number Capital Fund Program Grant No: OH12-PO54-501-07 Replacement Housing Factor Grant No: | | | | Federal FY of Grant: 2007 | | |
|---|--|--|----------|----------------------|---------|---------------------------|----------------|----------------|
| Development Number Name/HA-Wide Activities | General Description of Major Work Categories | Dev. Acct No. | Quantity | Total Estimated Cost | | Total Actual Cost | | Status of Work |
| | | | | Original | Revised | Funds Obligated | Funds Expended | |
| 054-01 | Install new sidewalks, 100-150 ft. | 14 50 | | 3,000.00 | | | | |
| Day Woods | Repair existing sidewalks (level slabs) | 14 50 | | 2,000.00 | | | | |
| | New signage identifying property | 14 50 | 1 | 1,500.00 | | | | |
| | New shed/storage room doors, 16 units | 14 60 | 16 | 1,800.00 | | | | |
| | Repair, replace siding, gable vents | 14 60 | | 11,880.00 | | | | |
| | Upgrade phone, cable wiring in units | 14 60 | 48 | 20,000.00 | | | | |
| | Replace refrigerators, ranges | 14 65 | 3 ea. | 2,100.00 | | | | |
| | Replace laundry room equipment | 14 75 | 2 ea. | 4,000.00 | | | | |
| | (2 ea., washers and dryers) | | | | | | | |
| | | | | | | | | |
| | | | | | | | | |
| PHA-wide | Operating subsidy | 14 06 | | 7,160.00 | | | | |
| | Audit | 14 11 | | 1,000.00 | | | | |
| | Administration | 14 10 | | 7,160.00 | | | | |
| | Professional Services | 14 30 | | 10,000.00 | | | | |
| | | | | | | | | |
| | TOTAL | | | 71,600.00 | | | | |

ATTACHMENT A.
CAPITAL FUND PROGRAM ANNUAL STATEMENTS

Annual Statement/Performance and Evaluation Report
Capital Fund Program and Capital Fund Program Replacement Housing Factor (CFP/CFPRHF)
Part III: Implementation Schedule

[illegible]

ATTACHMENT B.
Sandusky MHA Public Housing Operating Budget, FY 2008-09

| Description | 2008-09 Budget |
|-------------------------------|-------------------|
| I. INCOME | |
| CFP | 14,374 |
| Subsidy | 119,916 |
| Rents | 29,854 |
| Tenant Charges | 2,500 |
| Interest | 3,500 |
| TOTAL INCOME | 170,144 |
| II. EXPENDITURES | |
| Admin. Salaries | 40,661 |
| Legal | 250 |
| Training | 500 |
| Travel | 400 |
| Audit | 4,800 |
| Accounting | 1,700 |
| Admin Sundry Exp | 6,000 |
| Water | 1,000 |
| Electric | 2,500 |
| Gas | 1,900 |
| Maint. Salaries | 41,031 |
| Maint. Supplies/Vehicle | 7,100 |
| Off. Equip. Contracts | 1,500 |
| Fire Protection | 500 |
| Maintenance Repairs | 1,500 |
| Trash Removal | 3,690 |
| Security Salaries | 2,074 |
| Habitec Security System | 200 |
| Insurance & BWC | 13,000 |
| PILOT | 2,445 |
| Employee Benefits | 34,902 |
| Collection Losses | 1,000 |
| Equipment | 0 |
| Grounds Exp./ Snow Removal | 1,491 |
| TOTAL EXPENDITURES | 170,144 |
| | |

Attachment C. Resident Advisory Members and RAB Comments

SANDUSKY METROPOLITAN HOUSING AUTHORITY

AGENDA – RAB MEETING

April 7, 2008

Purpose of Meeting

Purpose of Agency Plan

Highlights of Plan

Plan details

Questions and Comments

Below is a review of activity from the RAB meeting.

On April 1, a notice was mailed to all Voucher tenants and on April 2 delivered to Public Housing residents announcing the meeting for April 7, 2008, 10:00 a.m.

MEMBERS In attendance (sign-in sheet on file):

HCV Participants: Alma Taylor, Gary Glass, Jack Homler, Mark Garry;

Public Housing Residents: Chris Singleton

Notes from Meeting including COMMENTS:

The entire Plan was reviewed during the two-hour meeting.

HCV Comments: Some of the conversation centered around program funding cuts. Mr. Glass requested information that would be helpful so that he could write to members of Congress concerning cuts to the Voucher and Public Housing Programs. He also expressed appreciation for a change made last year to the Admin Plan: allowing an additional 60-day extension to the Voucher after the first 60 days has expired.

Public Housing Comments: Capital Funding Program work items were reviewed. There was a brief discussion about the possibility of eliminating storm doors/screen doors from the Day Woods entries, both front and back. Ms. Singleton asked that SMHA reconsider this possibility and, if nothing else, provide screen doors to residents who want them, charging the resident for the door(s) and installation.

ATTACHMENT D.

Resident Board Member Information

The Resident Board Member for Sandusky MHA is Steve Stull, a resident of Public Housing. Steve was appointed by the Mayor of Fremont, OH, on November 5, 2001 to fill an unexpired term. At the end of that term, September 11, 2004, he was reappointed to a full five-year term, through September 11, 2009.

ATTACHMENT E.

Community Service Description of Implementation

The Community Service program policies were adopted by the Sandusky MHA Board on November 18, 2003 (Board Resolution No. 10-03) after having been suspended as a result of December 2001 Congressional action (2002 Appropriations Act) where no funding was available to administer the program.

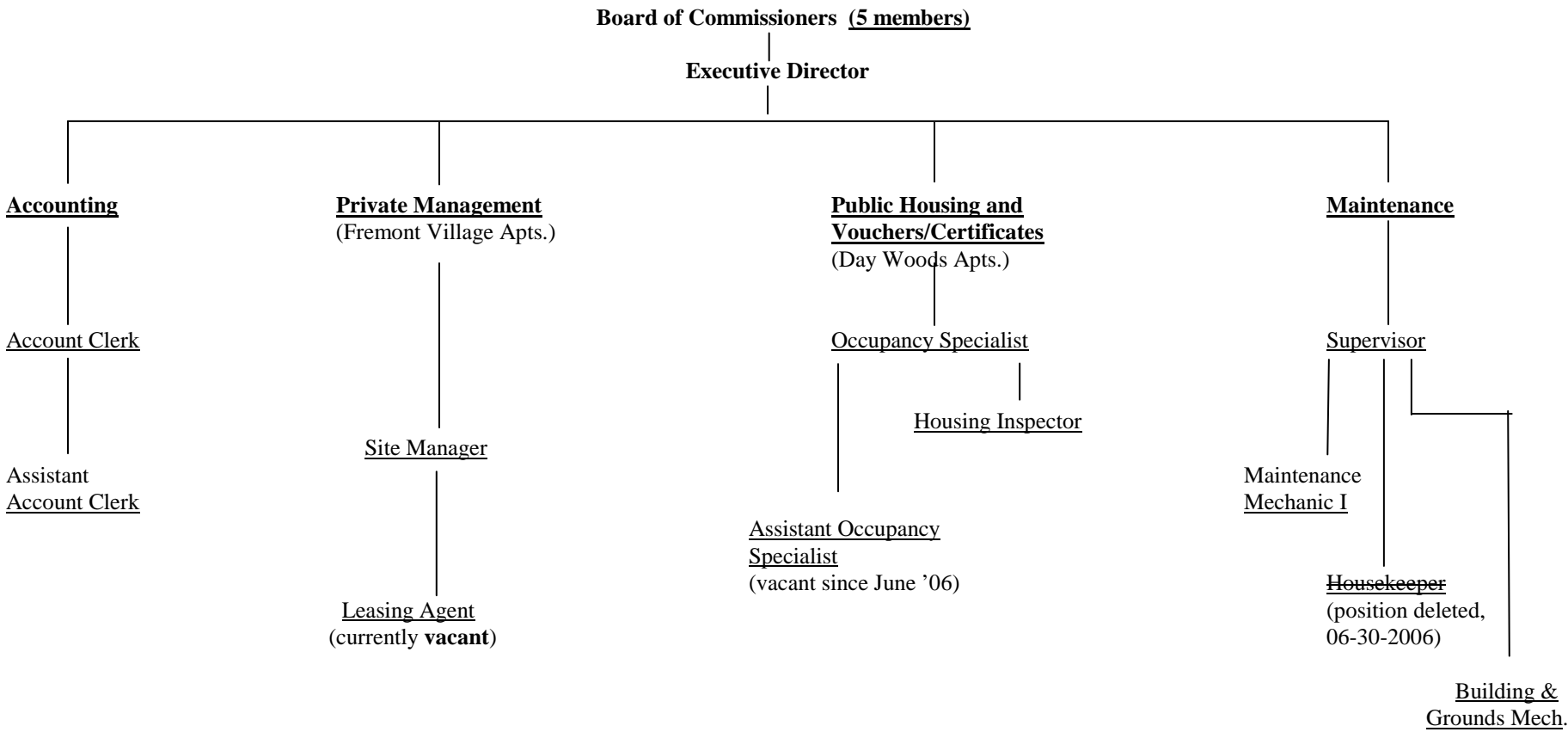
The November 18, 2003 resolution authorized revisions to the Public Housing Admissions and Continued Occupancy Policy pertaining to Community Service (Chapter 16).

ATTACHMENT F.

Pet Policy Information

The Pet Policies for Public Housing were adopted by the Sandusky MHA Board on February 12, 2002 (Board Resolution No. 1-2002), despite Resident Advisory Board Members' opposition to allowing pets in the complex.

**Sandusky Metropolitan Housing Authority
Organization Chart**



ATTACHMENT H. CAPITAL FUND PROGRAM, FIVE-YEAR PLAN

| Capital Fund Program Five-Year Action Plan | | | | | |
|--|------------------|--|--|--|--|
| Part I: Summary | | | | | |
| PHA Name Sandusky Metropolitan Housing Authority | | | | <input type="checkbox"/> Original 5-Year Plan <input checked="" type="checkbox"/> Revision No: 1 (April 2008) | |
| Development Number/Name/HA-Wide | Year 1 | Work Statement for Year 2 FFY Grant: 2009 PHA FY: 2009 | Work Statement for Year 3 FFY Grant: 2010 PHA FY: 2010 | Work Statement for Year 4 FFY Grant: 2011 PHA FY: 2011 | Work Statement for Year 5 FFY Grant: 2012 PHA FY: 2012 |
| | Annual Statement | | | | |
| 054-01 | | 48,000.00 | 80,000.00 | 64,500 | 56,000 |
| Day Woods | | | | | |
| | | | | | |
| HA-wide | | 37,800.00 | 2,000.00 | 30,600 | 40,000 |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| CFP Funds Listed for 5-year planning | | 85,800.00 | 82,000.00 | 95,100 | 96,000 |
| | | | | | |
| Replacement Housing Factor Funds | | | | | |

ATTACHMENT H. CAPITAL FUND PROGRAM, FIVE-YEAR PLAN

| Capital Fund Program Five-Year Action Plan | | | | | | |
|---|--|------------------------------|---------------------------|---|------------------------------------|---------------------------|
| Part II: Supporting Pages—Work Activities | | | | | | |
| Activities for Year 1 | Activities for Year : 2 FFY Grant: 2009 PHA FY: 2009 | | | Activities for Year: 3 FFY Grant: 2010 PHA FY: 2010 | | |
| | Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost |
| See | | | | | | |
| Annual | 054-01 | Enlarge office parking area | 42,000 | 054-01 | | |
| Statement | Day Woods | Build shelter at bus stop | 6,000 | Day Woods | Build garage | 20,000 |
| | | | | | Siding and gable vents | 40,000 |
| | | | | | Upgrade phone and cable service | 20,000 |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | PHA-wide | Administration | 8,400 | PHA-wide | Tablet PC (UPCS) | 2,000 |
| | | Operating subsidy | 8,400 | | | |
| | | Professional Services | 20,000 | | | |
| | | Consultant, A&E | | | | |
| | | Audit | 1,000 | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| | | | | | | |
| Total CFP Estimated Cost | | | \$85,800 | | | \$ 82,000 |

ATTACHMENT H. CAPITAL FUND PROGRAM, FIVE-YEAR PLAN

| Capital Fund Program Five-Year Action Plan Part II: Supporting Pages—Work Activities | | | | | |
|---|-------------------------------|----------------|---|---|----------------|
| Activities for Year : 4 FFY Grant: 2011 PHA FY: 2011 | | | Activities for Year: 5 FFY Grant: 2012 PHA FY: 2012 | | |
| Development Name/Number | Major Work Categories | Estimated Cost | Development Name/Number | Major Work Categories | Estimated Cost |
| | | | | | |
| 054-01 | | | 054-01 | | |
| Day Woods | Siding and gable vents | 34,500 | Day Woods | Replace carpet w/ hard surface flooring, portion of total units | 15,000 |
| | Upgrade site lights | 30,000 | | Gutter, downspout replacement, portion of total buildings | 15,000 |
| | | | | Tree trimming and removal, miscellaneous site work | 9,000 |
| | | | | AC protection fencing, portion of total units | 17,000 |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| PHA-wide | Administration | 7,160 | PHA-wide | Administration | 9,500 |
| | Operating subsidy | 7,160 | | Operating subsidy | 9,500 |
| | Professional Services | 12,000 | | Professional Services | 10,000 |
| | Consultant, A&E | | | Audit | 1,500 |
| | Audit | 1,500 | | Management Improvements | 9,500 |
| | Staff Training (incl. travel) | 2,780 | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Total CFP Estimated Cost | | \$ 95,100 | | | \$ 96,000 |

ATTACHMENT I. Revisions to Public Housing Admissions and Continued Occupancy Policy

The Sandusky MHA adopted two resolutions adopting new flat rents and ceiling rents (PH Admissions and Occupancy Policy revision):

1. Resolution No. 07-2007, Adoption of Ceiling Rents, reads as follows:

WHEREAS, the ceiling rents (1) reflect the reasonable market value of the housing unit but are not less than 75% of the monthly per-unit operating cost; (2) are designed to help attract and retain higher income families in order to create a broad range of incomes and more diverse tenant body; and (3) retaining higher income families increases cash flow from rents and offers the housing authority an opportunity to decrease reliance on federal funds; and

WHEREAS, ceiling rents were adopted and last adjusted in March, 2000; and

WHEREAS, the ceiling rents were based on the fair market rents less the applicable utility allowance in effect in March 2000, and the increase in expenses and reductions in federal funding since March 2000 have made it necessary to consider an increase to the current ceiling rents; and

WHEREAS, the inflation between March 2000 and March 2007 was 19.95%, according to InflationData.com.

THEREFORE, BE IT RESOLVED the ceiling rents will now be based on the inflation rate since March 2000 and the current payment standards used in the Housing Choice Voucher Program, less the current utility allowances for two- and three-bedroom units in Sandusky County as indicated below:

2-BR: Payment Standard of \$618 less Utility Allowance, \$182 = **\$436**; previous ceiling rent plus 20% inflation = **\$426**; **proposed ceiling rent is \$430.**

3-BR: Payment Standard of \$768 less Utility Allowance, \$219 = **\$549**; previous ceiling rent plus 20% inflation = **\$552**; **proposed ceiling rent is \$550.**

BE IT ALSO RESOLVED the ceiling rents will be effective (1) at the next annual recertification date for residents currently paying the ceiling rent, and (2) September 1, 2007, for all other residents who select the ceiling rent from this date forward.

BE IT ALSO RESOLVED all residents will be given 30 days to comment on the revision.

2. Resolution No. 08-2007, Adoption of Flat Rents, reads as follows:

WHEREAS, the Quality Housing and Work Responsibility Act of 1998 (QHWRA) required public housing agencies to adopt flat rents for Public Housing properties (Day Woods Apartments); and

WHEREAS, flat rents are designed to help attract and retain higher income families in order to create a broad range of incomes and more diverse tenant body; and

WHEREAS, retaining higher income families increases cash flow from rents and offers the housing authority an opportunity to decrease reliance on federal funds; and

WHEREAS, flat rents were adopted and last adjusted in March, 2000; and

WHEREAS, the flat rents are based on current ceiling rents.

THEREFORE, BE IT RESOLVED the flat rents will be increased to reflect the ceiling rents adopted in SMHA Board Resolution 07-2007 for two- and three-bedroom units in Sandusky County as indicated below:

2-BR: Payment Standard of \$618 less Utility Allowance, \$182 = **\$436**; previous ceiling rent plus 20% inflation = **\$426**; **proposed flat rent is \$430.**

3-BR: Payment Standard of \$768 less Utility Allowance, \$219 = **\$549**; previous ceiling rent plus 20% inflation = **\$552**; **proposed flat rent is \$550.**

BE IT ALSO RESOLVED the flat rents will be effective August 1, 2007, and residents will be given 30 days to comment on the revision.

ATTACHMENT J.

Violence Against Women Act (VAWA)

The Sandusky Metropolitan Housing Authority (SMHA) has adopted policies for both the Public Housing and the Housing Choice Voucher Programs to implement applicable provisions of the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Pub. L. 109-162) (VAWA). The policies were adopted in April 2007, and copies of those policies were attached to the Five Year/Annual Plan submitted to HUD in April 2007 (for FY beginning July 1, 2007).

SMHA's goals, objectives and policies to enable SMHA to serve the needs of child and adult victims of domestic violence, dating violence and stalking, as defined in VAWA, are stated in the SMHA VAWA Policies for the Public Housing Program (Admissions and Continued Occupancy Policy) and the Housing Choice Voucher Program (Administrative Plan).

In addition, SMHA shall operate programs to serve the needs of child and adult victims of domestic violence, dating violence and stalking as and to the extent such programs are described from time to time in SMHA's Annual Public Housing Agency Plan.

The policies for both the HCV and Public Housing Programs that were adopted in April 2007 and included in the Annual Plan submitted that same month are repeated below.

HOUSING CHOICE VOUCHER (HCV) ADMINISTRATIVE PLAN:

The section of the HCV ADMIN PLAN relating to tenant selection/suitability (Chapter 2) is amended by adding the following:

No applicant for public housing who has been a victim of domestic violence, dating violence, or stalking shall be denied admission into the program if they are otherwise qualified.

The section of the HCV ADMIN PLAN relating to termination of assistance (Chapter 15) is amended by adding the following:

An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be construed as a serious or repeated violation of the lease by the victim or threatened victim of that violence, and shall not be good cause for terminating the assistance, tenancy, or occupancy rights of the victim of such violence.

The Sandusky Metropolitan Housing Authority (SMHA) may terminate the assistance to remove a lawful occupant or tenant who engages in criminal acts or threatened acts of violence or stalking to family members or others without terminating the assistance or evicting victimized lawful occupants.

The SMHA may honor court orders regarding the rights of access or control of the property, including Temporary Protection Orders, Civil Protection Orders, and other orders issued to protect the victim as used to address the distribution or possession or property among household members where the family "breaks up."

There is no limitation on the ability of the SMHA to terminate assistance for other good cause unrelated to the incident or incidents of domestic violence, dating violence or stalking, other than the victim may not be subject to a "more demanding standard" than non-victims.

There is no prohibition on the SMHA terminating assistance if it “can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if that tenant’s (victim’s) tenancy is not terminated.”

Any protections provided by law which give greater protection to the victim are not superceded by these provisions.

The SMHA will require certification by the victim of victim status on such forms as the SMHA and/or HUD shall prescribe or approve.

Definition of Terms

The same definitions of “domestic violence,” “dating violence,” and “stalking,” and of “immediate family member” are provided in Sections 606 and 607. While definitions of domestic and dating violence refer to standard definitions in the Violence Against Women Act, the definition of stalking provided in Title VI is specific to the housing provisions.

These are:

1. *Domestic Violence* – [as defined in Section 40002 of VAWA 1994] which states as follows:

SEC 40002(a)(6) – “DOMESTIC VIOLENCE - The term ‘domestic violence’ includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person’s acts under the domestic or family violence laws of the jurisdiction.”

2. *Dating Violence* – [as defined in Section 40002 of VAWA 1994] which states as follows:

SEC 40002(a)(8) – “DATING VIOLENCE- The term ‘dating violence’ means violence committed by a person—

(A) who is or has been in a social relationship of a romantic or intimate nature with the victim; and

(B) where the existence of such a relationship shall be determined based on a consideration of the following factors:

(i) The length of the relationship.

(ii) The type of relationship.

(iii) The frequency of interaction between the persons involved in the relationship.”

3. *Stalking* – “means –

(A) (i) to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and (ii) to place under surveillance with the intent to kill, injure, harass or intimidate another person; and

(B) in the course of, or as a result of, such following, pursuit, surveillance or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to –

(i) that person;

(ii) a member of the immediate family of that person; or

(iii) the spouse or intimate partner of that person; ...”

3. *Immediate Family Member* - “means, with respect to a person –

- (A) a spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or
(B) any other person living in the household of that person and related to that person by blood or marriage.”

PUBLIC HOUSING ADMISSIONS AND CONTINUED OCCUPANCY POLICY AND PROCEDURES

The section of the ACOP relating to tenant selection/suitability (Chapter 4) is amended by adding the following:

No applicant for public housing who has been a victim of domestic violence, dating violence, or stalking shall be denied admission into the program if they are otherwise qualified.

The section of the ACOP relating to lease terminations (Chapter 13) is amended by adding the following:

An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be construed as a serious or repeated violation of the lease by the victim or threatened victim of that violence, and shall not be good cause for terminating the assistance, tenancy, or occupancy rights of the victim of such violence.

The Sandusky Metropolitan Housing Authority (SMHA) may terminate the assistance to remove a lawful occupant or tenant who engages in criminal acts or threatened acts of violence or stalking to family members or others without terminating the assistance or evicting victimized lawful occupants.

The SMHA may honor court orders regarding the rights of access or control of the property, including Temporary Protection Orders, Civil Protection Orders, and other orders issued to protect the victim as used to address the distribution or possession of property among household members where the family “breaks up.”

There is no limitation on the ability of the SMHA to evict for other good cause unrelated to the incident or incidents of domestic violence, dating violence or stalking, other than the victim may not be subject to a “more demanding standard” than non-victims.

There is no prohibition on the SMHA evicting if it “can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if that tenant’s (victim’s) tenancy is not terminated.”

Any protections provided by law which give greater protection to the victim are not superceded by these provisions.

The SMHA will require certification by the victim of victim status on such forms as the SMHA and/or HUD shall prescribe or approve.

Definition of Terms

The same definitions of “domestic violence,” “dating violence,” and “stalking,” and of “immediate family member” are provided in Sections 606 and 607. While definitions of domestic and dating violence refer to standard definitions in the Violence Against Women Act, the definition of stalking provided in Title VI is specific to the housing provisions.

These are:

1. *Domestic Violence* – [as defined in Section 40002 of VAWA 1994] which states as follows:

SEC 40002(a)(6) – “DOMESTIC VIOLENCE - The term ‘domestic violence’ includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person’s acts under the domestic or family violence laws of the jurisdiction.”

2. *Dating Violence* – [as defined in Section 40002 of VAWA 1994] which states as follows:

SEC 40002(a)(8) – “DATING VIOLENCE- The term ‘dating violence’ means violence committed by a person—

(A) who is or has been in a social relationship of a romantic or intimate nature with the victim; and

(B) where the existence of such a relationship shall be determined based on a consideration of the following factors:

(i) The length of the relationship.

(ii) The type of relationship.

(iii) The frequency of interaction between the persons involved in the relationship.”

3. *Stalking* – “means –

(A) (i) to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and (ii) to place under surveillance with the intent to kill, injure, harass or intimidate another person; and

(B) in the course of, or as a result of, such following, pursuit, surveillance or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to –

(i) that person;

(ii) a member of the immediate family of that person; or

(iii) the spouse or intimate partner of that person; ...”

3. *Immediate Family Member* - “means, with respect to a person –

(A) a spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or

(B) any other person living in the household of that person and related to that person by blood or marriage.”